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(54) Title: **LITHIUM-BASED ELECTROCHEMICALLY ACTIVE MATERIALS AND PREPARATION THEREOF**

(57) Abstract: The invention provides novel lithium-mixed metal materials which, upon electrochemical interaction, release lithium ions, and are capable of reversibly cycling lithium ions. The invention provides a rechargeable lithium battery which comprises an electrode formed from the novel lithium-mixed metal materials. Methods for making the novel lithium-mixed metal materials and methods for using such lithium-mixed metal materials in electrochemical cells are also provided. The lithium-mixed metal materials comprise lithium and at least one other metal besides lithium. Preferred materials are lithium-mixed metal phosphates which contain lithium and two other metals besides lithium.

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LITHIUM-BASED ELECTROCHEMICALLY ACTIVE MATERIALS AND PREPARATION THEREOF

Field of the Invention

5 This invention relates to improved materials
usable as electrode active materials and to their
preparation.

Background of the Invention

Lithium batteries are prepared from one or more
10 lithium electrochemical cells containing
electrochemically active (electroactive) materials. Such
cells typically include an anode (negative electrode), a
cathode (positive electrode), and an electrolyte
interposed between spaced apart positive and negative
15 electrodes. Batteries with anodes of metallic lithium
and containing metal chalcogenide cathode active material
are known. The electrolyte typically comprises a salt of
lithium dissolved in one or more solvents, typically
nonaqueous (aprotic) organic solvents. Other
20 electrolytes are solid electrolytes typically called
polymeric matrixes that contain an ionic conductive
medium, typically a metallic powder or salt, in
combination with a polymer that itself may be ionically
conductive which is electrically insulating. By
25 convention, during discharge of the cell, the negative
electrode of the cell is defined as the anode. Cells
having a metallic lithium anode and metal chalcogenide
cathode are charged in an initial condition. During
discharge, lithium ions from the metallic anode pass
30 through the liquid electrolyte to the electrochemical

active (electroactive) material of the cathode whereupon they release electrical energy to an external circuit.

It has recently been suggested to replace the lithium metal anode with an insertion anode, such as a 5 lithium metal chalcogenide or lithium metal oxide. Carbon anodes, such as coke and graphite, are also insertion materials. Such negative electrodes are used with lithium- containing insertion cathodes, in order to form an electroactive couple in a cell. Such cells, in 10 an initial condition, are not charged. In order to be used to deliver electrochemical energy, such cells must be charged in order to transfer lithium to the anode from the lithium- containing cathode. During discharge the lithium is transferred from the anode back to the 15 cathode. During a subsequent recharge, the lithium is transferred back to the anode where it re-inserts. Upon subsequent charge and discharge, the lithium ions (Li^+) are transported between the electrodes. Such rechargeable batteries, having no free metallic species 20 are called rechargeable ion batteries or rocking chair batteries. See U.S. Patent Nos. 5,418,090; 4,464,447; 4,194,062; and 5,130,211.

Preferred positive electrode active materials include $LiCoO_2$, $LiMn_2O_4$, and $LiNiO_2$. The cobalt compounds 25 are relatively expensive and the nickel compounds are difficult to synthesize. A relatively economical positive electrode is $LiMn_2O_4$, for which methods of synthesis are known. The lithium cobalt oxide ($LiCoO_2$), the lithium manganese oxide ($LiMn_2O_4$), and the lithium 30 nickel oxide ($LiNiO_2$) all have a common disadvantage in that the charge capacity of a cell comprising such cathodes suffers a significant loss in capacity. That

is, the initial capacity available (amp hours/gram) from LiMn_2O_4 , LiNiO_2 , and LiCoO_2 is less than the theoretical capacity because significantly less than 1 atomic unit of lithium engages in the electrochemical reaction. Such an initial capacity value is significantly diminished during the first cycle operation and such capacity further diminishes on every successive cycle of operation. For LiNiO_2 and LiCoO_2 only about 0.5 atomic units of lithium is reversibly cycled during cell operation. Many attempts have been made to reduce capacity fading, for example, as described in U.S. Patent No. 4,828,834 by Nagaura et al. However, the presently known and commonly used, alkali transition metal oxide compounds suffer from relatively low capacity. Therefore, there remains the difficulty of obtaining a lithium-containing electrode material having acceptable capacity without disadvantage of significant capacity loss when used in a cell.

Summary of the Invention

The invention provides novel lithium-mixed metal materials which, upon electrochemical interaction, release lithium ions, and are capable of reversibly cycling lithium ions. The invention provides a rechargeable lithium battery which comprises an electrode formed from the novel lithium-mixed metal materials. Methods for making the novel lithium-mixed metal materials and methods for using such lithium-mixed metal materials in electrochemical cells are also provided.

The lithium-mixed metal materials comprise lithium and at least one other metal besides lithium. Preferred materials are lithium-mixed metal phosphates which contain lithium and two other metals besides lithium.

Accordingly, the invention provides a rechargeable lithium battery which comprises an electrolyte; a first electrode having a compatible active material; and a second electrode comprising the novel materials. In one aspect, the novel materials are lithium-mixed metal phosphates which preferably used as a positive electrode active material, reversibly cycle lithium ions with the compatible negative electrode active material. Desirably, the lithium-mixed metal phosphate is represented by the nominal general formula $Li_aMI_bMII_c(PO_4)_d$. Such compounds include $Li_1MI_aMII_bPO_4$ and $Li_3MI_aMII_b(PO_4)_3$; therefore, in an initial condition $0 \leq a \leq 1$ or $0 \leq a \leq 3$, respectively. During cycling, x quantity of lithium is released where $0 \leq x \leq a$. In the general formula, the sum of b plus c is up to about 2. Specific examples are $Li_1MI_{1-y}MII_yPO_4$ and $Li_3MI_{2-y}MII_y(PO_4)_3$.

In one aspect, MI and MII are the same. In a preferred aspect, MI and MII are different from one

another. At least one of MI and MII is an element capable of an oxidation state higher than that initially present in the lithium-mixed metal phosphate compound. Correspondingly, at least one of MI and MII has more than one oxidation state in the phosphate compound, and more than one oxidation state above the ground state M^0 . The term oxidation state and valence state are used in the art interchangeably.

In another aspect, both MI and MII may have more than one oxidation state and both may be oxidizable from the state initially present in the phosphate compound. Desirably, MII is a metal or semi-metal having a +2 oxidation state, and is selected from Groups 2, 12 and 14 of the Periodic Table. Desirably, MII is selected from non-transition metals and semi-metals. In one embodiment, MII has only one oxidation state and is nonoxidizable from its oxidation state in the lithium-mixed metal compound. In another embodiment, MII has more than one oxidation state. Examples of semi-metals having more than one oxidation state are selenium and tellurium; other non-transition metals with more than one oxidation state are tin and lead. Preferably, MII is selected from Mg (magnesium), Ca (calcium), Zn (zinc), Sr (strontium), Pb (lead), Cd (cadmium), Sn (tin), Ba (barium), and Be (beryllium), and mixtures thereof. In another preferred aspect, MII is a metal having a +2 oxidation state and having more than one oxidation state, and is oxidizable from its oxidation state in lithium-mixed metal compound.

Desirably, MI is selected from Fe (iron), Co (cobalt), Ni (nickel), Mn (manganese), Cu (copper), V (vanadium), Sn (tin), Ti (titanium), Cr (chromium), and

mixtures thereof. As can be seen, MI is preferably selected from the first row of transition metals and further includes tin, and MI preferably initially has a +2 oxidation state.

5 In a preferred aspect, the product $\text{LiMI}_{1-y}\text{MII}_y\text{PO}_4$ is an olivine structure and the product $\text{Li}_3\text{MI}_{1-y}(\text{PO}_4)_3$ is a rhombohedral or monoclinic Nasicon structure. In another aspect, the term "nominal formula" refers to the fact that the relative proportion of atomic species may vary 10 slightly on the order of 2 percent to 5 percent, or more typically, 1 percent to 3 percent. In still another aspect, any portion of P (phosphorous) may be substituted by Si (silicon), S (sulfur), and/or As (arsenic); and any portion of O (oxygen) may be substituted by halogen, 15 preferably F (fluorine). These aspects are also disclosed in U.S. Patent Application Serial Numbers 09/105,748 filed June 26, 1998, and 09/274,371 filed March 23, 1999; and in U.S. Patent No. 5,871,866 issued 20 February 16, 1999, which is incorporated by reference in its entirety; each of the listed applications and patents are co-owned by the assignee of the present invention.

The metal phosphates are alternatively represented by the nominal general formulas such as $\text{Li}_{1-x}\text{MI}_{1-y}\text{MII}_y\text{PO}_4$ ($0 \leq x \leq 1$), and $\text{Li}_{3-x}\text{MI}_{2-y}\text{MII}_y(\text{PO}_4)_3$, 25 signifying capability to release and reinsert lithium. The term "general" refers to a family of compounds, with M, x and y representing variations therein. The expressions $2-y$ and $1-y$ each signify that the relative amount of MI and MII may vary. In addition, as stated 30 above, MI may be a mixture of metals meeting the earlier stated criteria for MI. In addition, MII may be a mixture of metallic elements meeting the stated criteria

for MII. Preferably, where MII is a mixture, it is a mixture of 2 metallic elements; and where MI is a mixture, it is a mixture of 2 metals. Preferably, each such metal and metallic element has a +2 oxidation state 5 in the initial phosphate compound.

The active material of the counter electrode is any material compatible with the lithium-mixed metal phosphate of the invention. Where the lithium-mixed metal phosphate is used as a positive electrode active material, metallic lithium, lithium-containing material, or non-lithium-containing material may be used as the negative electrode active material. The negative electrode is desirably a nonmetallic insertion material. Desirably, the negative electrode comprises an active 10 material from the group consisting of metal oxide, particularly transition metal oxide, metal chalcogenide, carbon, graphite, and mixtures thereof. It is preferred that the anode active material comprises a carbonaceous material such as graphite. The lithium-mixed metal 15 phosphate of the invention may also be used as a negative electrode material.

In another embodiment, the present invention provides a method of preparing a compound of the nominal general formula $Li_aMI_bMII_c(PO_4)_d$ where $0 < a \leq 3$; the sum 25 of b plus c is greater than zero and up to about 2; and $0 < d \leq 3$. Preferred compounds include $Li_3MI_bMII_c(PO_4)_3$ where b plus c is about 2; and $LiMI_bMII_cPO_4$ where b plus c is about 1. The method comprises providing starting materials in particle form. The starting (precursor) 30 materials include a lithium-containing compound, one or more metal containing compounds, a compound capable of providing the phosphate $(PO_4)^{-3}$ anion, and carbon.

Preferably, the lithium-containing compound is in particle form, and an example is lithium salt. Preferably, the phosphate-containing anion compound is in particle form, and examples include metal phosphate salt 5 and diammonium hydrogen phosphate (DAHP) and ammonium dihydrogen phosphate (ADHP). The lithium compound, one or more metal compounds, and phosphate compound are included in a proportion which provides the stated nominal general formula. The starting materials are 10 mixed together with carbon, which is included in an amount sufficient to reduce the metal ion of one or more of the metal-containing starting materials without full reduction to an elemental metal state. Excess quantities of carbon and one or more other starting materials (i.e., 15 5 to 10% excess) may be used to enhance product quality. A small amount of carbon, remaining after the reaction, functions as a conductive constituent in the ultimate electrode formulation. This is an advantage since such remaining carbon is very intimately mixed with the 20 product active material. Accordingly, large quantities of excess carbon, on the order of 100% excess carbon are useable in the process. The carbon present during compound formation is thought to be intimately dispersed throughout the precursor and product. This provides many 25 advantages, including the enhanced conductivity of the product. The presence of carbon particles in the starting materials is also thought to provide nucleation sites for the production of the product crystals.

The starting materials are intimately mixed and 30 then reacted together where the reaction is initiated by heat and is preferably conducted in a nonoxidizing, inert atmosphere, whereby the lithium, metal from the metal compound(s), and phosphate combine to form the

$\text{Li}_a\text{MI}_b\text{MII}_c(\text{PO}_4)_d$ product. Before reacting the compounds, the particles are intermingled to form an essentially homogeneous powder mixture of the precursors. In one aspect, the precursor powders are dry-mixed using a ball mill, such as zirconia media. Then the mixed powders are pressed into pellets. In another aspect, the precursor powders are mixed with a binder. The binder is selected so as to not inhibit reaction between particles of the powders. Therefore, preferred binders decompose or evaporate at a temperature less than the reaction temperature. Examples include mineral oils (i.e., glycerol, or C-18 hydrocarbon mineral oil) and polymers which decompose (carbonize) to form a carbon residue before the reaction starts, or which evaporate before the reaction starts. In still another aspect, intermingling is conducted by forming a wet mixture using a volatile solvent and then the intermingled particles are pressed together in pellet form to provide good grain-to-grain contact.

Although it is desired that the precursor compounds be present in a proportion which provides the stated general formula of the product, the lithium compound may be present in an excess amount on the order of 5 percent excess lithium compared to a stoichiometric mixture of the precursors. And the carbon may be present at up to 100% excess compared to the stoichiometric amount. The method of the invention may also be used to prepare other novel products, and to prepare known products. A number of lithium compounds are available as precursors, such as lithium acetate (LiOOCCH_3), lithium hydroxide, lithium nitrate (LiNO_3), lithium oxalate ($\text{Li}_2\text{C}_2\text{O}_4$), lithium oxide (Li_2O), lithium phosphate (Li_3PO_4), lithium dihydrogen phosphate (LiH_2PO_4), lithium

vanadate (LiVO_3), and lithium carbonate (Li_2CO_3). The lithium carbonate is preferred for the solid state reaction since it has a very high melting point and commonly reacts with the other precursors before melting.

5 Lithium carbonate has a melting point over 600°C and it decomposes in the presence of the other precursors and/or effectively reacts with the other precursors before melting. In contrast, lithium hydroxide melts at about 400°C. At some reaction temperatures preferred herein of

10 over 450°C the lithium hydroxide will melt before any significant reaction with the other precursors occurs to an effective extent. This melting renders the reaction very difficult to control. In addition, anhydrous LiOH is highly hygroscopic and a significant quantity of water

15 is released during the reaction. Such water needs to be removed from the oven and the resultant product may need to be dried. In one preferred aspect, the solid state reaction made possible by the present invention is much preferred since it is conducted at temperatures at which

20 the lithium-containing compound reacts with the other reactants before melting. Therefore, lithium hydroxide is useable as a precursor in the method of the invention in combination with some precursors, particularly the phosphates. The method of the invention is able to be

25 conducted as an economical carbothermal-based process with a wide variety of precursors and over a relatively broad temperature range.

The aforesaid precursor compounds (starting materials) are generally crystals, granules, and powders

30 and are generally referred to as being in particle form. Although many types of phosphate salts are known, it is preferred to use diammonium hydrogen phosphate $(\text{NH}_4)_2\text{HPO}_4$ (DAHP) or ammonium dihydrogen phosphate $(\text{NH}_4)\text{H}_2\text{PO}_4$ (ADHP).

Both ADHP and DAHP meet the preferred criteria that the precursors decompose in the presence of one another or react with one another before melting of such precursor. Exemplary metal compounds are Fe_2O_3 , Fe_3O_4 , V_2O_5 , VO_2 , 5 $LiVO_3$, NH_4VO_3 , $Mg(OH)_2$, Cao , MgO , $Ca(OH)_2$, MnO_2 , Mn_2O_3 , $Mn_3(PO_4)_2$, CuO , SnO , SnO_2 , TiO_2 , Ti_2O_3 , Cr_2O_3 , PbO_2 , PbO , $Ba(OH)_2$, BaO , $Cd(OH)_2$. In addition, some starting 10 materials serve as both the source of metal ion and phosphate, such as $FePO_4$, $Fe_3(PO_4)_2$, $Zn_3(PO_4)_2$, and $Mg_3(PO_4)_2$. Still others contain both lithium ion and phosphate such as Li_3PO_4 and LiH_2PO_4 . Other exemplary 15 precursors are H_3PO_4 (phosphoric acid); and P_2O_5 (P_4O_{10}) phosphoric oxide; and HPO_3 meta phosphoric acid, which is a decomposition product of P_2O_5 . If it is desired to replace any of the oxygen with a halogen, such as fluorine, the starting materials further include a fluorine compound such as LiF . If it is desired to replace any of the phosphorous with silicon, then the starting materials further include silicon oxide (SiO_2). 20 Similarly, ammonium sulfate in the starting materials is useable to replace phosphorus with sulfur.

The starting materials are available from a number of sources. The following are typical. Vanadium pentoxide of the formula V_2O_5 is obtainable from any 25 number of suppliers including Kerr McGee, Johnson Matthey, or Alpha Products of Davers, Massachusetts. Vanadium pentoxide has a CAS number of 1314-62-1. Iron oxide Fe_3O_4 is a common and very inexpensive material available in powder form from the same suppliers. The 30 other precursor materials mentioned above are also available from well known suppliers, such as those listed above.

The method of the invention may also be used to react starting materials in the presence of carbon to form a variety of other novel products, such as gamma-LiV₂O₅ and also to produce known products. Here, the 5 carbon functions to reduce metal ion of a starting metal compound to provide a product containing such reduced metal ion. The method is particularly useful to also add lithium to the resultant product, which thus contains the metallic element ions, namely, the lithium ion and the 10 other metal ion, thereby forming a mixed metal product. An example is the reaction of vanadium pentoxide (V₂O₅) with lithium carbonate in the presence of carbon to form gamma-LiV₂O₅. Here the starting metal ion V⁺⁵V⁺⁵ is 15 reduced to V⁺⁴V⁺⁵ in the final product. A single phase gamma-LiV₂O₅ product is not known to have been directly and independently formed before.

As described earlier, it is desirable to conduct the reaction at a temperature where the lithium compound reacts before melting. The temperature should 20 be about 400°C or greater, and desirably 450°C or greater, and preferably 500°C or greater, and generally will proceed at a faster rate at higher temperatures. The various reactions involve production of CO or CO₂ as 25 an effluent gas. The equilibrium at higher temperature favors CO formation. Some of the reactions are more desirably conducted at temperatures greater than 600°C; most desirably greater than 650°C; preferably 700°C or greater; more preferably 750°C or greater. Suitable ranges for many reactions are about 700 to 950°C, or 30 about 700 to 800°C.

Generally, the higher temperature reactions produce CO effluent and the stoichiometry requires more

carbon be used than the case where CO₂ effluent is produced at lower temperature. This is because the reducing effect of the C to CO₂ reaction is greater than the C to CO reaction. The C to CO₂ reaction involves an 5 increase in carbon oxidation state of +4 (from 0 to 4) and the C to CO reaction involves an increase in carbon oxidation state of +2 (from ground state zero to 2). Here, higher temperature generally refers to a range of about 650°C to about 1000°C and lower temperature refers 10 to up to about 650°C. Temperatures higher than 1200°C are not thought to be needed.

In one aspect, the method of the invention utilizes the reducing capabilities of carbon in a unique and controlled manner to produce desired products having 15 structure and lithium content suitable for electrode active materials. The method of the invention makes it possible to produce products containing lithium, metal and oxygen in an economical and convenient process. The ability to lithiate precursors, and change the oxidation 20 state of a metal without causing abstraction of oxygen from a precursor is heretofore unexpected. These advantages are at least in part achieved by the reductant, carbon, having an oxide whose free energy of formation becomes more negative as temperature increases. 25 Such oxide of carbon is more stable at high temperature than at low temperature. This feature is used to produce products having one or more metal ions in a reduced oxidation state relative to the precursor metal ion oxidation state. The method utilizes an effective 30 combination of quantity of carbon, time and temperature to produce new products and to produce known products in a new way.

Referring back to the discussion of temperature, at about 700°C both the carbon to carbon monoxide and the carbon to carbon dioxide reactions are occurring. At closer to 600°C the C to CO₂ reaction is 5 the dominant reaction. At closer to 800°C the C to CO reaction is dominant. Since the reducing effect of the C to CO₂ reaction is greater, the result is that less carbon is needed per atomic unit of metal to be reduced. In the case of carbon to carbon monoxide, each atomic 10 unit of carbon is oxidized from ground state zero to plus 2. Thus, for each atomic unit of metal ion (M) which is being reduced by one oxidation state, one half atomic unit of carbon is required. In the case of the carbon to carbon dioxide reaction, one quarter atomic unit of 15 carbon is stoichiometrically required for each atomic unit of metal ion (M) which is reduced by one oxidation state, because carbon goes from ground state zero to a plus 4 oxidation state. These same relationships apply for each such metal ion being reduced and for each unit 20 reduction in oxidation state desired.

It is preferred to heat the starting materials at a ramp rate of a fraction of a degree to 10°C per minute and preferably about 2°C per minute. Once the desired reaction temperature is attained, the reactants 25. (starting materials) are held at the reaction temperature for several hours. The heating is preferably conducted under non-oxidizing or inert gas such as argon or vacuum. Advantageously, a reducing atmosphere is not required, although it may be used if desired. After reaction, the 30 products are preferably cooled from the elevated temperature to ambient (room) temperature (i.e., 10°C to 40°C). Desirably, the cooling occurs at a rate similar to the earlier ramp rate, and preferably 2°C/minute

cooling. Such cooling rate has been found to be adequate to achieve the desired structure of the final product. It is also possible to quench the products at a cooling rate on the order of about 100°C/minute. In some 5 instances, such rapid cooling (quench) may be preferred.

The present invention resolves the capacity problem posed by widely used cathode active material. It has been found that the capacity and capacity retention of cells having the preferred active material of the 10 invention are improved over conventional materials. Optimized cells containing lithium-mixed metal phosphates of the invention potentially have performance improved over commonly used lithium metal oxide compounds. Advantageously, the new method of making the novel 15 lithium-mixed metal phosphate compounds of the invention is relatively economical and readily adaptable to commercial production.

Objects, features, and advantages of the invention include an electrochemical cell or battery 20 based on lithium-mixed metal phosphates. Another object is to provide an electrode active material which combines the advantages of good discharge capacity and capacity retention. It is also an object of the present invention to provide electrodes which can be manufactured 25 economically. Another object is to provide a method for forming electrode active material which lends itself to commercial scale production for preparation of large quantities.

These and other objects, features, and advantages will become apparent from the following description of the preferred embodiments, claims, and accompanying drawings.

Brief Description of the Drawings

Figure 1 shows the results of an x-ray diffraction analysis, of the LiFePO₄ prepared according to the invention using CuK α radiation, $\lambda = 1.5405\text{\AA}$. Bars refer to simulated pattern from refined cell parameters, 5 Space Group, SG = Pnma (62). The values are $a = 10.2883\text{\AA}$ (0.0020), $b = 5.9759\text{\AA}$ (0.0037), $c = 4.6717\text{\AA}$ (0.0012) 10 0.0072, cell volume = 287.2264\AA^3 (0.0685). Density, $p = 3.605$ g/cc, zero = 0.452 (0.003). Peak at full width half maximum, PFWHM = 0.21. Crystallite size from XRD data = 704\AA .

Figure 2 is a voltage/capacity plot of LiFePO₄-containing cathode cycled with a lithium metal anode using constant current cycling at ± 0.2 milliamps per 15 square centimeter in a range of 2.5 to 4.0 volts at a temperature of about 23°C. The cathode contained 19.0mg of the LiFePO₄ active material, prepared by the method of the invention. The electrolyte comprised ethylene carbonate (EC) and dimethyl carbonate (DMC) in a weight 20 ratio of 2:1 and included a 1 molar concentration of LiPF₆ salt. The lithium-metal-phosphate containing electrode and the lithium metal counter electrode are maintained spaced apart by a glass fiber separator which is interpenetrated by the solvent and the salt.

Figure 3 shows multiple constant current cycling of LiFePO₄ active material cycled with a lithium metal anode using the electrolyte as described in connection with Figure 2 and cycled, charge and discharge at ± 0.2 milliamps per square centimeter, 2.5 to 4.0 25 volts at two different temperature conditions, 23°C and 60°C. Figure 3 shows the excellent rechargeability of

the lithium iron phosphate/lithium metal cell, and also shows the excellent cycling and specific capacity (mAh/g) of the active material.

5 **Figure 4** shows the results of an x-ray diffraction analysis, of the $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ prepared according to the invention, using $\text{CuK}\alpha$ radiation, $\lambda = 1.5405\text{\AA}$. Bars refer to simulated pattern from refined cell parameters SG = Pnma (62). The values are $a = 10.2688\text{\AA}$ (0.0069), $b = 5.9709\text{\AA}$ (0.0072), $c = 4.6762\text{\AA}$ (0.0054), cell volume = 286.7208\AA^3 (0.04294), $\rho = 3.617$ g/cc, zero = 0.702 (0.003), PFWHM = 0.01, and crystallite = 950 \AA .

15 **Figure 5** is a voltage/capacity plot of $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ -containing cathode cycled with a lithium metal anode using constant current cycling at ± 0.2 milliamps per square centimeter in a range of 2.5 to 4.0 volts. Other conditions are as described earlier with respect to **Figure 2**. The cathode contained 18.9mg of the $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ active material prepared by the method of 20 the invention.

25 **Figure 6** shows multiple constant current cycling of $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ cycled with a lithium metal anode using the electrolyte as described in connection with **Figure 2** and cycled, charge and discharge at ± 0.2 milliamps per square centimeter, 2.5 to 4.0 volts at two different temperature conditions, 23°C and 60°C. **Figure 6** shows the excellent rechargeability of the lithium-metal-phosphate/lithium metal cell, and also shows the excellent cycling and capacity of the cell.

Figure 7 is a voltage/capacity plot of $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ -containing cathode cycled with a lithium metal anode using constant current cycling at ± 0.2 milliamps per square centimeter in a range of 2.5 to 4.0 5 volts at 23°C. Other conditions are as described earlier with respect to Figure 2. The cathode contained 16mg of the $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ active material prepared by the method of the invention.

Figure 8 shows the results of an x-ray 10 diffraction analysis, of the $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$ prepared according to the invention, using $\text{CuK}\alpha$ radiation, $\lambda = 1.5405\text{\AA}$. Bars refer to simulated pattern from refined cell parameters SG = Pnma (62). The values are $a = 10.3240\text{\AA}$ (0.0045), $b = 6.0042\text{\AA}$ (0.0031), $c = 4.6887\text{\AA}$ 15 (0.0020), cell volume = 290.6370\AA^3 (0.1807), zero = 0.702 (0.003), $p = 3.62$ g/cc, PFWHM = 0.18, and crystallite = 680 \AA .

Figure 9 is a voltage/capacity plot of $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$ -containing cathode cycled with a lithium 20 metal anode using constant current cycling at ± 0.2 milliamps per square centimeter in a range of 2.5 to 4.0 volts at 23°. Other conditions are as described earlier with respect to Figure 2. The cathode contained 18.5mg 25 of the $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$ active material prepared by the method of the invention.

Figure 10 is a voltage/capacity plot of $\text{LiFe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$ -containing cathode cycled with a lithium 30 metal anode using constant current cycling at ± 0.2 milliamps per square centimeter in a range of 2.5 to 4.0 volts at 23°C. Other conditions are as described earlier with respect to Figure 2. The cathode contained 18.9mg

of the $\text{LiFe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$ active material prepared by the method of the invention.

5 **Figure 11** shows the results of an x-ray diffraction analysis of the gamma- $\text{Li}_x\text{V}_2\text{O}_5$ ($x = 1$, gamma- LiV_2O_5) prepared according to the invention using $\text{CuK}\alpha$ radiation $\lambda = 1.5405\text{\AA}$. The values are $a = 9.687\text{\AA}$ (1), $b = 3.603\text{\AA}$ (2), and $c = 10.677\text{\AA}$ (3); phase type is gamma- $\text{Li}_x\text{V}_2\text{O}_5$ ($x = 1$); symmetry is orthorhombic; and space group is Pnma .

10 **Figure 12** is a voltage/capacity plot of gamma- LiV_2O_5 -containing cathode cycled with a lithium metal anode using constant current cycling at ± 0.2 milliamps per square centimeter in a range of 2.5 to 3.8 volts at 23°C. Other conditions are as described earlier with
15 respect to **Figure 2**. The cathode contained 21mg of the gamma- LiV_2O_5 active material prepared by the method of the invention.

20 **Figure 13** is a two-part graph based on multiple constant current cycling of gamma- LiV_2O_5 cycled with a lithium metal anode using the electrolyte as described in connection with **Figure 2** and cycled, charge and discharge at ± 0.2 milliamps per square centimeter, 2.5 to 3.8 volts. In the two-part graph, **Figure 13** shows the excellent rechargeability of the lithium-metal-
25 oxide/lithium metal cell. **Figure 13** shows the excellent cycling and capacity of the cell.

Figure 14 shows the results of an x-ray diffraction analysis of the $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ prepared according to the invention. The analysis is based on $\text{CuK}\alpha$

radiation, $\lambda = 1.5405\text{\AA}$. The values are $a = 12.184\text{\AA}$ (2), $b = 8.679\text{\AA}$ (2), $c = 8.627\text{\AA}$ (3), and $\beta = 90.457^\circ$ (4).

5 **Figure 15** shows the results of an x-ray diffraction analysis of $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ prepared according to a method described in U.S. Patent No. 5,871,866. The analysis is based on $\text{CuK}\alpha$ radiation, $\lambda = 1.5405\text{\AA}$. The values are $a = 12.155\text{\AA}$ (2), $b = 8.711\text{\AA}$ (2), $c = 8.645\text{\AA}$ (3); the angle beta is 90.175° (6); symmetry is Monoclinic; and space group is $\text{P}2_1/\text{n}$.

10 **Figure 16** is an EVS (Electrochemical Voltage Spectroscopy) voltage/capacity profile for a cell with cathode material formed by the carbothermal reduction method of the invention. The cathode material is 13.8mg of $\text{Li}_3\text{V}_2(\text{PO}_4)_3$. The cell includes a lithium metal counter 15 electrode in an electrolyte comprising ethylene carbonate (EC) and dimethyl carbonate (DMC) in a weight ratio of 2:1 and including a 1 molar concentration of LiPF_6 salt. The lithium-metal-phosphate containing electrode and the lithium metal counter electrode are maintained spaced apart by a fiberglass separator which is interpenetrated by the solvent and the salt. The conditions are ± 10 mV steps, between about 3.0 and 4.2 volts, and the critical 20 limiting current density is less than or equal to 0.1 mA/cm^2 .

25 **Figure 17** is an EVS differential capacity versus voltage plot for the cell as described in connection with **Figure 16**.

30 **Figure 18** shows multiple constant current cycling of $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ cycled with a lithium metal anode using the electrolyte as described in connection

with **Figure 2** and cycled, charge and discharge at ± 0.2 milliamps per square centimeter, 2.5 to 4.0 volts at two different temperature conditions, 23°C and 60°C. **Figure 18** shows the excellent rechargeability of the lithium-metal-phosphate/lithium metal cell, and also shows the excellent cycling and capacity of the cell.

Figure 19 is a graph of potential over time for the first four complete cycles of the $\text{LiMg}_{0.1}\text{Fe}_{0.9}\text{PO}_4/\text{MCMB}$ graphite cell of the invention.

Figure 20 is a two-part graph based on multiple constant current cycling of $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ cycled with an MCMB graphite anode using the electrolyte as described in connection with **Figure 2** and cycled, charge and discharge at ± 0.2 milliamps per square centimeter, 2.5 to 3.6 volts, 23°C and based on a C/10 (10 hour) rate. In the two-part graph, **Figure 20** shows the excellent rechargeability of the lithium-metal-phosphate/graphite cell. **Figure 20** shows the excellent cycling and capacity of the cell.

Figure 21 is a graph of potential over time for the first three complete cycles of the gamma- $\text{LiV}_2\text{O}_5/\text{MCMB}$ graphite cell of the invention.

Figure 22 is a diagrammatic representation of a typical laminated lithium-ion battery cell structure.

Figure 23 is a diagrammatic representation of a typical multi-cell battery cell structure.

Detailed Description of the Preferred Embodiments

The present invention provides lithium-mixed metal-phosphates, which are usable as electrode active materials, for lithium (Li⁺) ion removal and insertion.

5 Upon extraction of the lithium ions from the lithium-mixed-metal-phosphates, significant capacity is achieved. In one aspect of the invention, electrochemical energy is provided when combined with a suitable counter electrode by extraction of a quantity x of lithium from lithium-mixed-metal-phosphates $Li_{a-x}MI_bMII_c(PO_4)_d$. When a quantity x of lithium is removed per formula unit of the lithium-mixed-metal phosphate, metal MI is oxidized. In another aspect, metal MII is also oxidized. Therefore, at least one of MI and MII is oxidizable from its initial condition in the phosphate compound as Li is removed.

15 Consider the following which illustrate the mixed metal compounds of the invention: $LiFe_{1-y}Sn_yPO_4$, has two oxidizable elements, Fe and Sn; in contrast, $LiFe_{1-y}Mg_yPO_4$ has one oxidizable metal, the metal Fe.

20 In another aspect, the invention provides a lithium ion battery which comprises an electrolyte; a negative electrode having an insertion active material; and a positive electrode comprising a lithium-mixed-metal-phosphate active material characterized by an ability to release lithium ions for insertion into the negative electrode active material. The lithium-mixed-metal-phosphate is desirably represented by the nominal general formula $Li_aMI_bMII_c(PO_4)_d$. Although the metals MI and MII may be the same, it is preferred that the metals MI and MII are different. Desirably, in the phosphate compound MI is a metal selected from the group: Fe, Co, Ni, Mn, Cu, V, Sn, Ti, Cr and mixtures thereof, and MI is

most desirably a transition metal or mixture thereof selected from said group. Most preferably, MI has a +2 valence or oxidation state.

In another aspect, MII is selected from Mg, Ca, 5 Zn, Sr, Pb, Cd, Sn, Ba, Be, and mixtures thereof. Most preferably, MII has a +2 valence or oxidation state. The lithium-mixed-metal-phosphate is preferably a compound represented by the nominal general formula $Li_{a-x}MI_bMII_c(PO_4)_d$, signifying the preferred composition and its capability to release x lithium. Accordingly, 10 during cycling, charge and discharge, the value of x varies as x greater than or equal to 0 and less than or equal to a. The present invention resolves a capacity problem posed by conventional cathode active materials. 15 Such problems with conventional active materials are described by Tarascon in U.S. Patent No. 5,425,932, using $LiMn_2O_4$ as an example. Similar problems are observed with $LiCoO_2$, $LiNiO_2$, and many, if not all, lithium metal chalcogenide materials. The present invention 20 demonstrates that significant capacity of the cathode active material is utilizable and maintained.

A preferred novel procedure for forming the lithium-mixed-metal-phosphate $Li_aMI_bMII_c(PO_4)_d$ compound active material will now be described. In addition, the 25 preferred novel procedure is also applicable to formation of other lithium metal compounds, and will be described as such. The basic procedure will be described with reference to exemplary starting materials but is not limited thereby. The basic process comprises conducting a reaction between a lithium compound, preferably lithium 30 carbonate (Li_2CO_3), metal compound(s), for example, vanadium pentoxide (V_2O_5), iron oxide (Fe_2O_3), and/or

manganese hydroxide, and a phosphoric acid derivative, preferably the phosphoric acid ammonium salt, diammonium hydrogen phosphate, $(\text{NH}_4)_2\text{H}(\text{PO}_4)$. Each of the precursor starting materials are available from a number of 5 chemical outfits including Aldrich Chemical Company and Fluka. Using the method described herein, LiFePO_4 and $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$, $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ were prepared with approximately a stoichiometric amount of Li_2CO_3 , the respective metal compound, and $(\text{NH}_4)_2\text{HPO}_4$. Carbon powder was included with 10 these precursor materials. The precursor materials were initially intimately mixed and dry ground for about 30 minutes. The intimately mixed compounds were then pressed into pellets. Reaction was conducted by heating in an oven at a preferred ramped heating rate to an 15 elevated temperature, and held at such elevated temperature for several hours to complete formation of the reaction product. The entire reaction was conducted in a non-oxidizing atmosphere, under flowing pure argon gas. The flow rate will depend upon the size of the oven 20 and the quantity needed to maintain the atmosphere. The oven was permitted to cool down at the end of the reaction period, where cooling occurred at a desired rate under argon. Exemplary and preferred ramp rates, elevated reaction temperatures and reaction times are 25 described herein. In one aspect, a ramp rate of 2°/minute to an elevated temperature in a range of 750°C to 800°C was suitable along with a dwell (reaction time) of 8 hours. Refer to Reactions 1, 2, 3 and 4 herein. In another variation per Reaction 5, a reaction temperature 30 of 600°C was used along with a dwell time of about one hour. In still another variation, as per Reaction 6, a two-stage heating was conducted, first to a temperature of 300°C and then to a temperature of 850°.

The general aspects of the above synthesis route are applicable to a variety of starting materials. Lithium-containing compounds include Li_2O (lithium oxide), LiH_2PO_4 (lithium hydrogen phosphate), 5 $\text{Li}_2\text{C}_2\text{O}_4$ (lithium oxalate), LiOH (lithium hydroxide), $\text{LiOH}\cdot\text{H}_2\text{O}$ (lithium hydroxide monohydrate), and LiHCO_3 (lithium hydrogen carbonate). The metal compounds(s) are reduced in the presence of the reducing agent, carbon. The same considerations apply to other lithium-metal- and 10 phosphate-containing precursors. The thermodynamic considerations such as ease of reduction, of the selected precursors, the reaction kinetics, and the melting point of the salts will cause adjustment in the general procedure, such as, amount of carbon reducing agent, and 15 the temperature of reaction.

Figures 1 through **21** which will be described more particularly below show characterization data and capacity in actual use for the cathode materials (positive electrodes) of the invention. Some tests were 20 conducted in a cell comprising a lithium metal counter electrode (negative electrode) and other tests were conducted in cells having a carbonaceous counter electrode. All of the cells had an EC:DMC- LiPF_6 electrolyte.

25 Typical cell configurations will now be described with reference to **Figures 22 and 23**; and such battery or cell utilizes the novel active material of the invention. Note that the preferred cell arrangement described here is illustrative and the invention is not limited thereby. Experiments are often performed, based 30 on full and half cell arrangements, as per the following description. For test purposes, test cells are often

fabricated using lithium metal electrodes. When forming cells for use as batteries, it is preferred to use an insertion positive electrode as per the invention and a graphitic carbon negative electrode.

5 A typical laminated battery cell structure 10 is depicted in **Figure 22**. It comprises a negative electrode side 12, a positive electrode side 14, and an electrolyte/separator 16 there between. Negative electrode side 12 includes current collector 18, and 10 positive electrode side 14 includes current collector 22. A copper collector foil 18, preferably in the form of an open mesh grid, upon which is laid a negative electrode membrane 20 comprising an insertion material such as carbon or graphite or low-voltage lithium insertion 15 compound, dispersed in a polymeric binder matrix. An electrolyte/separator film 16 membrane is preferably a plasticized copolymer. This electrolyte/separator preferably comprises a polymeric separator and a suitable electrolyte for ion transport. The electrolyte/separator 20 is positioned upon the electrode element and is covered with a positive electrode membrane 24 comprising a composition of a finely divided lithium insertion compound in a polymeric binder matrix. An aluminum collector foil or grid 22 completes the assembly. 25 Protective bagging material 40 covers the cell and prevents infiltration of air and moisture.

30 In another embodiment, a multi-cell battery configuration as per **Figure 23** is prepared with copper current collector 51, negative electrode 53, electrolyte/separator 55, positive electrode 57, and aluminum current collector 59. Tabs 52 and 58 of the current collector elements form respective terminals for

the battery structure. As used herein, the terms "cell" and "battery" refer to an individual cell comprising anode/electrolyte/cathode and also refer to a multi-cell arrangement in a stack.

5 The relative weight proportions of the components of the positive electrode are generally: 50-90% by weight active material; 5-30% carbon black as the electric conductive diluent; and 3-20% binder chosen to hold all particulate materials in contact with one another without degrading ionic conductivity. Stated ranges are not critical, and the amount of active material in an electrode may range from 25-95 weight percent. The negative electrode comprises about 50-95% by weight of a preferred graphite, with the balance 10 constituted by the binder. A typical electrolyte separator film comprises approximately two parts polymer for every one part of a preferred fumed silica. The conductive solvent comprises any number of suitable solvents and salts. Desirable solvents and salts are 15 described in U.S. Patent Nos. 5,643,695 and 5,418,091. One example is a mixture of EC:DMC:LiPF₆ in a weight 20 ratio of about 60:30:10.

25 Solvents are selected to be used individually or in mixtures, and include dimethyl carbonate (DMC), diethylcarbonate (DEC), dipropylcarbonate (DPC), ethylmethylcarbonate (EMC), ethylene carbonate (EC), propylene carbonate (PC), butylene carbonate, lactones, esters, glymes, sulfoxides, sulfolanes, etc. The preferred solvents are EC/DMC, EC/DEC, EC/DPC and EC/EMC. 30 The salt content ranges from 5% to 65% by weight, preferably from 8% to 35% by weight.

Those skilled in the art will understand that any number of methods are used to form films from the casting solution using conventional meter bar or doctor blade apparatus. It is usually sufficient to air-dry the films at moderate temperature to yield self-supporting films of copolymer composition. Lamination of assembled cell structures is accomplished by conventional means by pressing between metal plates at a temperature of about 120-160°C. Subsequent to lamination, the battery cell material may be stored either with the retained plasticizer or as a dry sheet after extraction of the plasticizer with a selective low-boiling point solvent. The plasticizer extraction solvent is not critical, and methanol or ether are often used.

membrane and, in some compositions, to increase the subsequent level of electrolyte solution absorption.

In the construction of a lithium-ion battery, a current collector layer of aluminum foil or grid is overlaid with a positive electrode film, or membrane, separately prepared as a coated layer of a dispersion of insertion electrode composition. This is typically an insertion compound such as LiMn_2O_4 (LMO), LiCoO_2 , or LiNiO_2 , powder in a copolymer matrix solution, which is dried to form the positive electrode. An electrolyte/separator membrane is formed as a dried coating of a composition comprising a solution containing VdF:HFP copolymer and a plasticizer solvent is then overlaid on the positive electrode film. A negative electrode membrane formed as a dried coating of a powdered carbon or other negative electrode material dispersion in a VdF:HFP copolymer matrix solution is similarly overlaid on the separator membrane layer. A copper current collector foil or grid is laid upon the negative electrode layer to complete the cell assembly. Therefore, the VdF:HFP copolymer composition is used as a binder in all of the major cell components, positive electrode film, negative electrode film, and electrolyte/separator membrane. The assembled components are then heated under pressure to achieve heat-fusion bonding between the plasticized copolymer matrix electrode and electrolyte components, and to the collector grids, to thereby form an effective laminate of cell elements. This produces an essentially unitary and flexible battery cell structure.

Examples of forming cells containing metallic lithium anode, insertion electrodes, solid electrolytes

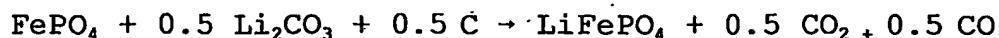
and liquid electrolytes can be found in U.S. Patent Nos. 4,668,595; 4,830,939; 4,935,317; 4,990,413; 4,792,504; 5,037,712; 5,262,253; 5,300,373; 5,435,054; 5,463,179; 5,399,447; 5,482,795 and 5,411,820; each of which is 5 incorporated herein by reference in its entirety. Note that the older generation of cells contained organic polymeric and inorganic electrolyte matrix materials, with the polymeric being most preferred. The polyethylene oxide of 5,411,820 is an example. More 10 modern examples are the VdF:HFP polymeric matrix. Examples of casting, lamination and formation of cells using VdF:HFP are as described in U.S. Patent Nos. 5,418,091; 5,460,904; 5,456,000; and 5,540,741; assigned to Bell Communications Research, each of which is 15 incorporated herein by reference in its entirety.

As described earlier, the electrochemical cell operated as per the invention, may be prepared in a variety of ways. In one embodiment, the negative electrode may be metallic lithium. In more desirable 20 embodiments, the negative electrode is an insertion active material, such as, metal oxides and graphite. When a metal oxide active material is used, the components of the electrode are the metal oxide, electrically conductive carbon, and binder, in 25 proportions similar to that described above for the positive electrode. In a preferred embodiment, the negative electrode active material is graphite particles. For test purposes, test cells are often fabricated using lithium metal electrodes. When forming cells for use as 30 batteries, it is preferred to use an insertion metal oxide positive electrode and a graphitic carbon negative electrode. Various methods for fabricating electrochemical cells and batteries and for forming

electrode components are described herein. The invention is not, however, limited by any particular fabrication method.

Formation of Active Materials**EXAMPLE I**

Reaction 1(a). LiFePO_4 formed from FePO_4



5 (a) Pre-mix reactants in the following proportions using ball mill. Thus,

1 mol FePO_4	150.82g
0.5 mol Li_2CO_3	36.95g
0.5 mol carbon	6.0g

10 (but use 100% excess carbon \rightarrow 12.00g)

(b) Pelletize powder mixture

(c) Heat pellet to 750°C at a rate of $2^\circ/\text{minute}$ in flowing inert atmosphere (e.g. argon). Dwell for 8 hours at 750°C under argon.

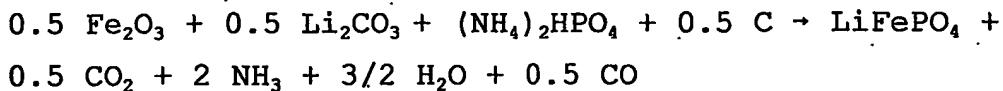
15 (d) Cool to room temperature at $2^\circ/\text{minute}$ under argon.

(e) Powderize pellet.

20 Note that at 750°C this is predominantly a CO reaction. This reaction is able to be conducted at a temperature in a range of about 700°C to about 950°C in argon as shown, and also under other inert atmospheres such as nitrogen or vacuum.

EXAMPLE II

Reaction 1(b). LiFePO₄ formed from Fe₂O₃



5 (a) Premix powders in the following proportions

0.5 mol Fe ₂ O ₃	79.85g
0.5 mol Li ₂ CO ₃	36.95g
1 mol (NH ₄) ₂ HPO ₄	132.06g
0.5 mol carbon	6.00g

10 (use 100% excess carbon → 12.00g)

(b) Pelletize powder mixture

(c) Heat pellet to 750°C at a rate of 2°/minute in flowing inert atmosphere (e.g. argon). Dwell for 8 hours at 750°C under argon.

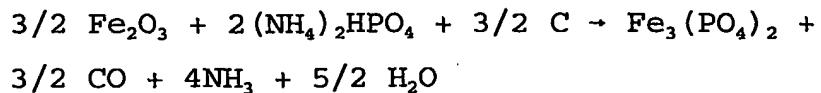
15 (d) Cool to room temperature at 2°/minute under argon.

(e) Powderize

EXAMPLE III

Reaction 1(c). LiFePO₄ - from Fe₃(PO₄)₂

20 Two steps:

Part I. Carbothermal preparation of $\text{Fe}_3(\text{PO}_4)_2$ 

(a) Premix reactants in the following proportions

5	3/2 mol Fe_2O_3	239.54g
	2 mol $(\text{NH}_4)_2\text{HPO}_4$	264.12g
	3/2 mol carbon	18.00g

(use 100% excess carbon \rightarrow 36.00g)

(b) Pelletize powder mixture

10 (c) Heat pellet to 800°C at a rate of 2°/minute in flowing inert atmosphere (e.g. argon). Dwell for 8 hours at 750°C under argon.

(d) Cool to room temperature at 2°C/minute under argon.

15 (e) Powderize pellet.

Part II. Preparation of LiFePO_4 from the $\text{Fe}_3(\text{PO}_4)_2$ of Part I.

(a) Premix reactants in the following proportions

20	1 mol Li_3PO_4	115.79g
	1 mol $\text{Fe}_3(\text{PO}_4)_2$	357.48g

(b) Pelletize powder mixture

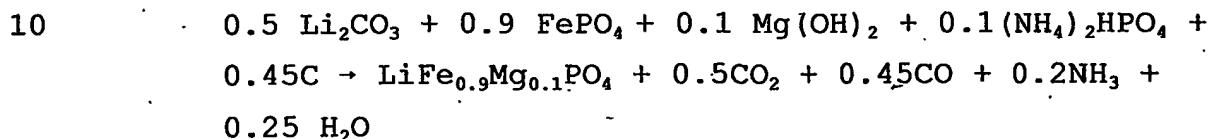
(c) Heat pellet to 750°C at a rate of 2°/minute in flowing inert atmosphere (e.g. argon). Dwell for 8 hours at 750°C under argon.

5 (d) Cool to room temperature at 2°C/minute under argon.

(e) Powderize pellet.

EXAMPLE IV

Reaction 2(a). $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ ($\text{LiFe}_{1-y}\text{Mg}_y\text{PO}_4$) formed from FePO_4



(a) Pre-mix reactants in the following proportions

	0.50 mol Li_2CO_3	=	36.95g
15	0.90 mol FePO_4	=	135.74g
	0.10 mol $\text{Mg}(\text{OH})_2$	=	5.83g
	0.10 mol $(\text{NH}_4)_2\text{HPO}_4$	=	1.32g
	0.45 mol carbon	=	5.40g

(use 100% excess carbon \rightarrow 10.80g)

20 (b) Pelletize powder mixture

(c) Heat to 750°C at a rate of 2°/minute in argon. Hold for 8 hours dwell at 750°C in argon

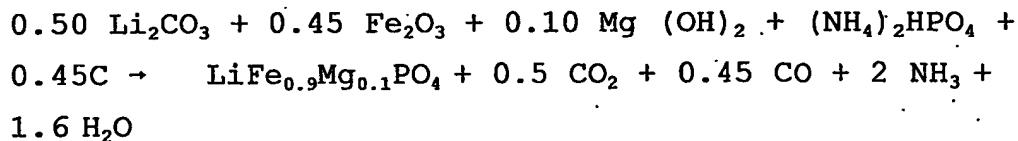
(d) Cool at a rate of 2°/minute

(e) Powderize pellet.

EXAMPLE V

Reaction 2 (b). $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ ($\text{LiFe}_{1-y}\text{Mg}_y\text{PO}_4$) formed from

5 Fe_2O_3



10 (a) Pre-mix reactants in following ratio

0.50 mol Li_2CO_3	=	36.95g
0.45 mol Fe_2O_3	=	71.86g
0.10 mol $\text{Mg} (\text{OH})_2$	=	5.83g
1.00 mol $(\text{NH}_4)_2\text{HPO}_4$	=	132.06g
15 0.45 mol carbon	=	5.40g

(use 100% excess carbon \rightarrow 10.80g)

(b) Pelletize powder mixture

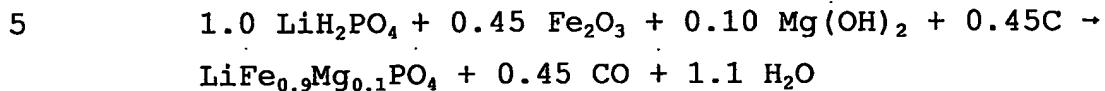
(c) Heat to 750°C at a rate of $2^\circ/\text{minute}$ in argon.
Hold for 8 hours dwell at 750°C in argon

20 (d) Cool at a rate of $2^\circ/\text{minute}$

(e) Powderize pellet.

EXAMPLE VI

Reaction 2 (c). $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ ($\text{LiFe}_{1-y}\text{Mg}_y\text{PO}_4$) formed from LiH_2PO_4



(a) Pre-mix reactants in the following proportions

	1.00 mol LiH_2PO_4	=	103.93g
	0.45 mol Fe_2O_3	=	71.86g
10	0.10 mol Mg(OH)_2	=	5.83g
	0.45 mol carbon	=	5.40g

(use 100% excess carbon \rightarrow 10.80g)

(b) Pelletize powder mixture

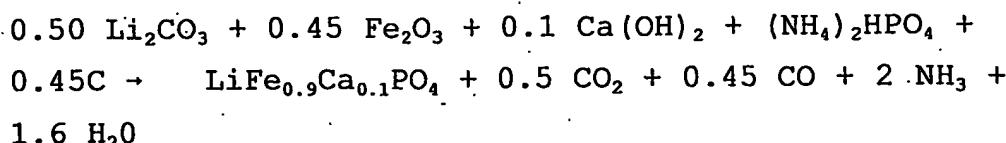
15 (c) Heat to 750°C at a rate of 2°/minute in argon.
Hold for 8 hours dwell at 750°C in argon

(d) Cool at a rate of 2°/minute

(e) Powderize pellet.

EXAMPLE VII

20 Reaction 3. Formation of $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$
 $(\text{LiFe}_{1-y}\text{Ca}_y\text{PO}_4)$ from Fe_2O_3



(a) Pre-mix reactants in the following proportions

	0.50 mol Li_2CO_3	=	36.95g
	0.45 mol Fe_2O_3	=	71.86g
	0.10 mol $\text{Ca}(\text{OH})_2$	=	7.41g
5	1.00 mol $(\text{NH}_4)_2\text{HPO}_4$	=	132.06g
	0.45 mol carbon	=	5.40g

(100% excess carbon \rightarrow 10.80g)

(b) Pelletize powder mixture

10 (c) Heat to 750°C at a rate of 2°/minute in argon.
Hold for 8 hours dwell at 750°C in argon

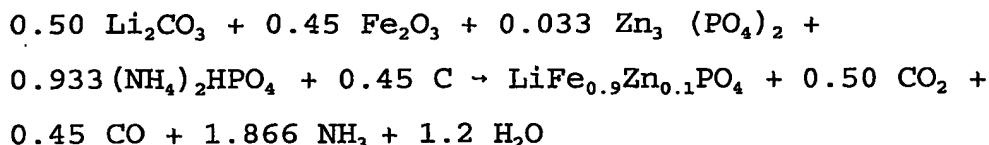
(d) Cool at a rate of 2°/minute

(e) Powderize pellet.

EXAMPLE VIII

15 Reaction 4. Formation of $\text{LiFe}_{0.9}\text{Zn}_{0.1}\text{PO}_4$

$(\text{LiFe}_{1-y}\text{Zn}_y\text{PO}_4)$ from Fe_2O_3 .



20 Pre-mix reactants in the following proportions

	0.50 mol Li_2CO_3	=	36.95g
	0.45 mol Fe_2O_3	=	71.86g
	0.033 mol $\text{Zn}_3(\text{PO}_4)_2$	=	12.74g
	0.933 mol $(\text{NH}_4)_2\text{HPO}_4$	=	123.21g

40

$$0.45 \text{ mol carbon} = 5.40\text{g}$$

(100% excess carbon \rightarrow 10.80g)

5 (b) Pelletize powder mixture

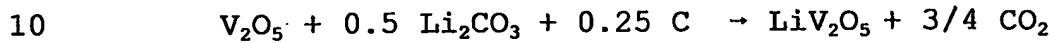
(c) Heat to 750°C at a rate of 2°/minute in argon.
Hold for 8 hours dwell at 750°C in argon

(d) Cool at a rate of 2°/minute

(e) Powderize pellet.

EXAMPLE IX

Reaction 5. Formation of gamma-LiV₂O₅ (γ)



15 (a) Pre-mix alpha V₂O₅, Li₂CO₃ and Shiwinigan Black (carbon) using ball mix with suitable media. Use a 25% weight excess of carbon over the reaction amounts above. For example, according to reaction above:

Need:	1 mol V ₂ O ₅	181.88g
	0.5 mol Li ₂ CO ₃	36.95g
	0.25 mol carbon	3.00g

(but use 25% excess carbon \rightarrow 3.75g)

(b) Pelletize powder mixture

(c) Heat pellet to 600°C in flowing argon (or other inert atmosphere) at a heat rate of approximately 2°/minute. Hold at 600°C for 5 about 60 minutes.

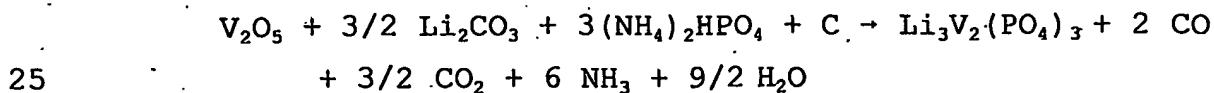
(d) Allow to cool to room temperature in argon at cooling rate of about 2°/minute.

(e) Powderize pellet using mortar and pestle

This reaction is able to be conducted at a 10 temperature in a range of about 400°C to about 650°C in argon as shown, and also under other inert atmospheres such as nitrogen or vacuum. This reaction at this temperature range is primarily $C \rightarrow CO_2$. Note that the reaction $C \rightarrow CO$ primarily occurs at a temperature over 15 about 650°C (HT, high temperature); and the reaction $C \rightarrow CO_2$ primarily occurs at a temperature of under about 650°C (LT, low temperature). The reference to about 650°C is approximate and the designation "primarily" refers to the predominant reaction thermodynamically 20 favored although the alternate reaction may occur to some extent.

EXAMPLE X

Reaction 6. Formation of $Li_3V_2(PO_4)_3$



(a) Pre-mix reactants above using ball mill with suitable media. Use a 25% weight excess of carbon. Thus,

	1 mol V ₂ O ₅	181.88g
5	3/2 mol Li ₂ CO ₃	110.84g
	3 mol (NH ₄) ₂ HPO ₄	396.18g
	1 mol carbon	12.01g

(but use 25% excess carbon → 15.01g)

(b) Pelletize powder mixture

10 (c) Heat pellet at 2°/minute to 300°C to remove CO₂ (from Li₂CO₃) and to remove NH₃, H₂O. Heat in an inert atmosphere (e.g. argon). Cool to room temperature.

(d) Powderize and repelletize

15 (e) Heat pellet in inert atmosphere at a rate of 2°C/minute to 850°C. Dwell for 8 hours at 850°C

(f) Cool to room temperature at a rate of 2°/minute in argon.

20 (e) Powderize

This reaction is able to be conducted at a temperature in a range of about 700°C to about 950°C in argon as shown, and also under other inert atmospheres such as nitrogen or vacuum. A reaction temperature greater than about 670°C ensures C → CO reaction is primarily carried out.

**Characterization of Active Materials
and Formation and Testing of Cells**

Referring to **Figure 1**, the final product LiFePO_4 , prepared from Fe_2O_3 metal compound per Reaction 5 1(b), appeared brown/black in color. This olivine material product included carbon that remained after reaction. Its $\text{CuK}\alpha$ x-ray diffraction pattern contained all of the peaks expected for this material as shown in **Figure 1**. The pattern evident in **Figure 1** is consistent 10 with the single phase olivine phosphate, LiFePO_4 . This is evidenced by the position of the peaks in terms of the scattering angle 2θ (theta), x axis. The x-ray pattern showed no peaks due to the presence of precursor oxides indicating that the solid state reaction is essentially 15 entirely completed. Here the space group SG = pnma (62) and the lattice parameters from XRD refinement are consistent with the olivine structure. The values are $a = 10.2883\text{\AA}$ (0.0020), $b = 5.9759$ (0.0037), $c = 4.6717\text{\AA}$ (0.0012), 0.0072 , cell volume = 287.2264\AA^3 (0.0685). 20 Density, $p = 3.605$ g/cc, zero = 0.452 (0.003). Peak at full width half maximum, PFWHM = 0.21. Crystallite size from XRD data = 704\AA .

The x-ray pattern demonstrates that the product of the invention was indeed the nominal formula LiFePO_4 . 25 The term "nominal formula" refers to the fact that the relative proportion of atomic species may vary slightly on the order of 2 percent to 5 percent, or more typically, 1 percent to 3 percent, and that some portion of P may be substituted by Si, S or As; and some portion of O may be substituted by halogen, preferably F. 30

The LiFePO_4 , prepared as described immediately above, was tested in an electrochemical cell. The positive electrode was prepared as described above, using 19.0mg of active material. The positive electrode 5 contained, on a weight % basis, 85% active material, 10% carbon black, and 5% EPDM. The negative electrode was metallic lithium. The electrolyte was a 2:1 weight ratio mixture of ethylene carbonate and dimethyl carbonate within which was dissolved 1 molar LiPF_6 . The cells were 10 cycled between about 2.5 and about 4.0 volts with performance as shown in **Figures 2 and 3**.

Figure 2 shows the results of the first constant current cycling at 0.2 millamps per square centimeter between about 2.5 and 4.0 volts based upon 15 about 19 milligrams of the LiFePO_4 active material in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is LiFePO_4 . The lithium is extracted from the LiFePO_4 during charging of the cell. When fully 20 charged, about 0.72 unit of lithium had been removed per formula unit. Consequently, the positive electrode active material corresponds to $\text{Li}_{1-x}\text{FePO}_4$ where x appears to be equal to about 0.72, when the cathode material is at 4.0 volts versus Li/Li^+ . The extraction represents 25 approximately 123 millamp hours per gram corresponding to about 2.3 millamp hours based on 19 milligrams active material. Next, the cell is discharged whereupon a quantity of lithium is re-inserted into the LiFePO_4 . The re-insertion corresponds to approximately 121 millamp 30 hours per gram proportional to the insertion of essentially all of the lithium. The bottom of the curve corresponds to approximately 2.5 volts. The total

cumulative capacity demonstrated during the entire extraction-insertion cycle is 244mAh/g.

Figure 3 presents data obtained by multiple constant current cycling at 0.2 milliamp hours per square centimeter of the LiFePO₄ versus lithium metal counter electrode between 2.5 and 4.0 volts. Data is shown for two temperatures, 23°C and 60°C. Figure 3 shows the excellent rechargeability of the LiFePO₄ cell, and also shows good cycling and capacity of the cell. The performance shown after about 190 to 200 cycles is good and shows that electrode formulation is very desirable.

Referring to Figure 4, there is shown data for the final product LiFe_{0.9}Mg_{0.1}PO₄, prepared from the metal compounds Fe₂O₃ and Mg(OH)₂ → Mg(OH)₂, per Reaction 2(b). Its CuK α x-ray diffraction pattern contained all of the peaks expected for this material as shown in Figure 4. The pattern evident in Figure 4 is consistent with the single phase olivine phosphate compound, LiFe_{0.9}Mg_{0.1}PO₄. This is evidenced by the position of the peaks in terms of the scattering angle 2 θ (theta), x axis. The x-ray pattern showed no peaks due to the presence of precursor oxides indicating that the solid state reaction is essentially entirely completed. Here the space group SG = Pnma (62) and the lattice parameters from XRD refinement are consistent with the olivine structure. The values are a = 10.2688 \AA (0.0069), b = 5.9709 \AA (0.0072), c = 4.6762 \AA (0.0054), cell volume = 286.7208 \AA^3 (0.04294), p = 3.617 g/cc, zero = 0.702 (0.003), PFWHM = 0.01, and crystallite = .950 \AA .

The x-ray pattern demonstrates that the product of the invention was indeed the nominal formula

LiFe_{0.9}Mg_{0.1}PO₄. The term "nominal formula" refers to the fact that the relative proportion of atomic species may vary slightly on the order of 2 percent to 5 percent, or more typically, 1 percent to 3 percent, and that some 5 substitution of P and O may be made while maintaining the basic olivine structure.

The LiFe_{0.9}Mg_{0.1}PO₄, prepared as described immediately above, was tested in an electrochemical cell. The positive electrode was prepared as described above, 10 using 18.9mg of active materials. The positive electrode, negative electrode and electrolyte were prepared as described earlier and in connection with Figure 1. The cell was between about 2.5 and about 4.0 volts with performance as shown in Figures 4, 5 and 6.

15 **Figure 5** shows the results of the first constant current cycling at 0.2 millamps per square centimeter between about 2.5 and 4.0 volts based upon about 18.9 milligrams of the LiFe_{0.9}Mg_{0.1}PO₄ active material in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is LiFe_{0.9}Mg_{0.1}PO₄. The lithium is extracted from the LiFe_{0.9}Mg_{0.1}PO₄ during charging of the cell. When fully charged, about 0.87 units of lithium have been removed per formula unit. 20 Consequently, the positive electrode active material corresponds to Li_{1-x}Fe_{0.9}Mg_{0.1}PO₄ where x appears to be equal to about 0.87, when the cathode material is at 4.0 volts versus Li/Li⁺. The extraction represents approximately 150 milliamp hours per gram corresponding to about 2.8 milliamp hours based on 18.9 milligrams active material. 25 Next, the cell is discharged whereupon a quantity of lithium is re-inserted into the LiFe_{0.9}Mg_{0.1}PO₄. The re-

insertion corresponds to approximately 146 milliamp hours per gram proportional to the insertion of essentially all of the lithium. The bottom of the curve corresponds to approximately 2.5 volts. The total cumulative specific 5 capacity over the entire cycle is 296 mAh/g. This material has a much better cycle profile than the LiFePO_4 . **Figure 5** ($\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$) shows a very well defined and sharp peak at about 150 mAh/g. In contrast, **Figure 2** (LiFePO_4) shows a very shallow slope leading to 10 the peak at about 123 mAh/g. The Fe-phosphate (**Figure 2**) provides 123 mAh/g compared to its theoretical capacity of 170 mAh/g. This ratio of 123/170, 72% is relatively poor compared to the Fe/Mg-phosphate. The Fe/Mg-phosphate (**Figure 5**) provides 150 mAh/g compared to a 15 theoretical capacity of 160, a ratio of 150/160 or 94%.

Figure 6 presents data obtained by multiple constant current cycling at 0.2 milliamp hours per square centimeter of the $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ versus lithium metal counter electrode between 2.5 and 4.0 volts. **Figure 6** 20 shows the excellent rechargeability of the $\text{Li}/\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ cell, and also shows good cycling and capacity of the cell. The performance shown after about 150 to 160 cycles is very good and shows that electrode formulation $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$ performed significantly better 25 than the LiFePO_4 . Comparing **Figure 3** (LiFePO_4) to **Figure 6** ($\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$) it can be seen that the Fe/Mg-phosphate maintains its capacity over prolonged cycling, whereas the Fe-phosphate capacity fades significantly.

Figure 7 shows the results of the first 30 constant current cycling at 0.2 milliamps per square centimeter between about 2.5 and 4.0 volts based upon about 16 milligrams of the $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ active material

in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$. The lithium is extracted from the $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ during charging of the 5 cell. When fully charged, about 0.79 units of lithium have been removed per formula unit. Consequently, the positive electrode active material corresponds to $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ where x appears to be equal to about 0.79, when the cathode material is at 4.0 volts versus Li/Li^+ . 10 The extraction approximately 140 milliamp hours per gram corresponding to about 2.2 milliamp hours based on 16 milligrams active material. Next, the cell is discharged whereupon a quantity of lithium is re-inserted into the $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$. The re-insertion corresponds to 15 approximately 122 milliamp hours per gram proportional to the insertion of essentially all of the lithium. The bottom of the curve corresponds to approximately 2.5 volts. The total cumulative specific capacity over the entire cycle is 262 mAh/g.

20 Referring to **Figure 8**, there is shown data for the final product $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$, prepared from Fe_2O_3 and $\text{Ca}(\text{OH})_2$ by Reaction 3. Its $\text{CuK}\alpha$ x-ray diffraction pattern contained all of the peaks expected for this material as shown in **Figure 8**. The pattern evident in 25 **Figure 8** is consistent with the single phase olivine phosphate compound, $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$. This is evidenced by the position of the peaks in terms of the scattering angle 2θ (theta), x axis. The x-ray pattern showed no peaks due to the presence of precursor oxides indicating 30 that the solid state reaction is essentially entirely completed. Here the space group SG = Pnma (62) and the lattice parameters from XRD refinement are consistent with olivine. The values are $a = 10.3240\text{\AA}$ (0.0045), $b =$

6.0042 \AA (0.0031), $c = 4.6887\text{\AA}$ (0.0020), cell volume = 290.6370 \AA (0.1807), zero = 0.702 (0.003), $p = 3.62$ g/cc, PFWHM = 0.18, and crystallite = 680 \AA . The x-ray pattern demonstrates that the product of the invention was indeed 5 the nominal formula $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$.

Figure 9 shows the results of the first constant current cycling at 0.2 millamps per square centimeter between about 2.5 and 4.0 volts based upon about 18.5 milligrams of the $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$ active 10 material in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$. The lithium is extracted from the $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$ during charging of the cell. When fully charged, about 0.71 units of 15 lithium have been removed per formula unit. Consequently, the positive electrode active material corresponds to $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$ where x appears to be equal to about 0.71, when the cathode material is at 4.0 volts versus Li/Li^+ . The extraction represents approximately 20 123 milliamp hours per gram corresponding to about 2.3 milliamp hours based on 18.5 milligrams active material. Next, the cell is discharged whereupon a quantity of 25 lithium is re-inserted into the $\text{LiFe}_{0.8}\text{Ca}_{0.2}\text{PO}_4$. The re-insertion corresponds to approximately 110 milliamp hours per gram proportional to the insertion of nearly all of the lithium. The bottom of the curve corresponds to approximately 2.5 volts. The total specific cumulative capacity over the entire cycle is 233 mAh/g.

Figure 10 shows the results of the first 30 constant current cycling at 0.2 millamps per square centimeter between about 2.5 and 4.0 volts based upon about 18.9 milligrams of the $\text{LiFe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$ olivine active

material in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is $\text{LiFe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$, prepared from Fe_2O_3 and $\text{Zn}_3(\text{PO}_4)_2$ by Reaction 4. The lithium is extracted from the $\text{LiFe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$ during charging of the cell. When fully charged, about 0.74 units of lithium have been removed per formula unit. Consequently, the positive electrode active material corresponds to $\text{Li}_{1-x}\text{Fe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$ where x appears to be equal to about 0.74, when the cathode material is at 4.0 volts versus Li/Li^+ . The extraction represents approximately 124 milliamp hours per gram corresponding to about 2.3 milliamp hours based on 18.9 milligrams active material. Next, the cell is discharged whereupon a quantity of lithium is re-inserted into the $\text{LiFe}_{0.8}\text{Zn}_{0.2}\text{PO}_4$. The re-insertion corresponds to approximately 108 milliamp hours per gram proportional to the insertion of nearly all of the lithium. The bottom of the curve corresponds to approximately 2.5 volts.

Referring to Figure 11, the final product LiV_2O_5 , prepared by Reaction 5, appeared black in color. Its $\text{CuK}\alpha$ x-ray diffraction pattern contained all of the peaks expected for this material as shown in Figure 11. The pattern evident in Figure 11 is consistent with a single oxide compound gamma- LiV_2O_5 . This is evidenced by the position of the peaks in terms of the scattering angle 2θ (theta), x axis. The x-ray pattern showed no peaks due to the presence of precursor oxides indicating that the solid state reaction is essentially entirely completed.

The x-ray pattern demonstrates that the product of the invention was indeed the nominal formula gamma-

LiV_2O_5 . The term "nominal formula" refers to the fact that the relative proportion of atomic species may vary slightly on the order of 2 percent to 5 percent, or more typically, 1 percent to 3 percent.

5 The LiV_2O_5 prepared as described immediately above, was tested in an electrochemical cell. The cell was prepared as described above and cycled with performance as shown in **Figures 12 and 13**.

10 **Figure 12** shows the results of the first constant current cycling at 0.2 millamps per square centimeter between about 2.8 and 3.8 volts based upon about 15.0 milligrams of the LiV_2O_5 active material in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is LiV_2O_5 . The lithium is extracted from the LiV_2O_5 during charging of the cell. When fully charged, about 0.93 unit of lithium had been removed per formula unit. Consequently, the positive electrode active material corresponds to $\text{Li}_{1-x}\text{V}_2\text{O}_5$ where x appears to be equal to about 0.93, when the cathode material is at 3.8 volts versus Li/Li^+ . The extraction represents approximately 132 millamp hours per gram corresponding to about 2.0 millamp hours based on 15.0 milligrams active material. Next, the cell is discharged whereupon 20 a quantity of lithium is re-inserted into the LiV_2O_5 . The re-insertion corresponds to approximately 130 millamp hours per gram proportional to the insertion of essentially all of the lithium. The bottom of the curve 25 corresponds to approximately 2.8 volts.

30 **Figure 13** presents data obtained by multiple constant current cycling at 0.4 millamp hours per square

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centimeter (C/2 rate) of the LiV_2O_5 versus lithium metal counter electrode between 3.0 and 3.75 volts. Data for two temperature conditions are shown, 23°C and 60°C.

Figure 13 is a two part graph with Figure 13A showing the 5 excellent rechargeability of the LiV_2O_5 . Figure 13B shows good cycling and capacity of the cell. The performance shown up to about 300 cycles is good.

Referring to Figure 14, the final product $\text{Li}_3\text{V}_2(\text{PO}_4)_3$, prepared by Reaction 6, appeared green/black 10 in color. Its $\text{CuK}\alpha$ x-ray diffraction pattern contained all of the peaks expected for this material as shown in Figure 14. The pattern evident in Figure 14 is consistent with a single phosphate compound $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ of the monoclinic, Nasicon phase. This is evidenced by the 15 position of the peaks in terms of the scattering angle 2θ (theta), x axis. The x-ray pattern showed no peaks due to the presence of precursor oxides indicating that the solid state reaction is essentially entirely completed.

The x-ray pattern demonstrates that the product 20 of the invention was indeed the nominal formula $\text{Li}_3\text{V}_2(\text{PO}_4)_3$. The term "nominal formula" refers to the fact that the relative proportion of atomic species may vary slightly on the order of 2 percent to 5 percent, or more typically, 1 percent to 3 percent; and that substitution 25 of P and O may occur.

The $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ prepared as described immediately above, was tested in an electrochemical cell. The cell was prepared as described above, using 13.8mg of active material. The cell was prepared as described above and 30 cycled between about 3.0 and about 4.2 volts using the EVS technique with performance as shown in Figures 16 and

17. **Figure 16** shows specific capacity versus electrode potential against Li. **Figure 17** shows differential capacity versus electrode potential against Li.

A comparative method was used to form $\text{Li}_3\text{V}_2(\text{PO}_4)_3$. Such method was reaction without carbon and under H_2 -reducing gas as described in U.S. Patent No. 5,871,866. The final product, prepared as per U.S. Patent No. 5,871,866, appeared green in color. Its $\text{CuK}\alpha$ x-ray diffraction pattern contained all of the peaks expected for this material as shown in **Figure 15**. The pattern evident in **Figure 15** is consistent with a monoclinic Nasicon single phase phosphate compound $\text{Li}_3\text{V}_2(\text{PO}_4)_3$. This is evidenced by the position of the peaks in terms of the scattering angle 2θ (theta), x axis. The x-ray pattern showed no peaks due to the presence of precursor oxides indicating that the solid state reaction is essentially entirely completed. Chemical analysis for lithium and vanadium by atomic absorption spectroscopy showed, on a percent by weight basis, 5.17 percent lithium and 26 percent vanadium. This is close to the expected result of 5.11 percent lithium and 25 percent vanadium.

The chemical analysis and x-ray patterns of **Figures 14 and 15** demonstrate that the product of **Figure 14** was the same as that of **Figure 15**. The product of **Figure 14** was prepared without the undesirable H_2 atmosphere and was prepared by the novel carbothermal solid state synthesis of the invention.

Figure 16 shows a voltage profile of the test cell, based on the $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ positive electrode active material made by the process of the invention and as

characterized in **Figure 14**. It was cycled against a lithium metal counter electrode. The data shown in **Figure 16** is based on the Electrochemical Voltage Spectroscopy (EVS) technique. Electrochemical and kinetic data were recorded using the Electrochemical Voltage Spectroscopy (EVS) technique. Such technique is known in the art as described by J. Barker in *Synth, Met* 28, D217 (1989); *Synth. Met.* 32, 43 (1989); *J. Power Sources*, 52, 185 (1994); and *Electrochimica Acta*, Vol. 10 40, No. 11, at 1603 (1995). **Figure 16** clearly shows and highlights the reversibility of the product. The positive electrode contained about 13.8 milligrams of the $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ active material. The positive electrode showed a performance of about 133 milliamp hours per gram 15 on the first discharge. In **Figure 16**, the capacity in, and the capacity out are essentially the same, resulting in essentially no capacity loss. **Figure 17** is an EVS differential capacity plot based on **Figure 16**. As can be seen from **Figure 17**, the relatively symmetrical nature of peaks indicates good electrical reversibility, there are small peak separations (charge/discharge), and good correspondence between peaks above and below the zero axis. There are essentially no peaks that can be related to irreversible reactions, since all peaks above the axis 20 (cell charge) have corresponding peaks below the axis (cell discharge), and there is essentially no separation between the peaks above and below the axis. This shows that the carbothermal method of the invention produces 25 high quality electrode material.

30 **Figure 18** presents data obtained by multiple constant current cycling at 0.2 milliamp hours per square centimeter of the $\text{LiFe}_{0.8}\text{Mg}_{0.2}\text{PO}_4$ versus lithium metal counter electrode between 2.5 and 4.0 volts. **Figure 18**

shows the excellent rechargeability of the Li/LiFe_{0.8}Mg_{0.2}PO₄ cell, and also shows good cycling and capacity of the cell. The performance shown after about 110 to 120 cycles at 23°C is very good and shows that 5 electrode formulation LiFe_{0.8}Mg_{0.2}PO₄ performed significantly better than the LiFePO₄. The cell cycling test at 60°C was started after the 23°C test and was ongoing. Comparing **Figure 3** (LiFePO₄) to **Figure 18** (LiFe_{0.8}Mg_{0.2}PO₄), it can be seen that the Fe/Mg-phosphate 10 maintains its capacity over prolonged cycling, whereas the Fe-phosphate capacity fades significantly.

In addition to the above cell tests, the active materials of the invention were also cycled against insertion anodes in non-metallic, lithium ion, rocking 15 chair cells.

The lithium mixed metal phosphate and the lithium metal oxide were used to formulate a cathode electrode. The electrode was fabricated by solvent casting a slurry of the treated, enriched lithium 20 manganese oxide, conductive carbon, binder, plasticizer and solvent. The conductive carbon used was Super P (MMM Carbon). Kynar Flex 2801® was used as the binder and electronic grade acetone was used as a solvent. The preferred plasticizer was dibutyl phthalate (DPB). The 25 slurry was cast onto glass and a free-standing electrode was formed as the solvent was evaporated. In this example, the cathode had 23.1mg LiFe_{0.9}Mg_{0.1}PO₄ active material. Thus, the proportions are as follows on a percent weight basis: 80% active material; 8% Super P 30 carbon; and 12% Kynar binder.

A graphite counter electrode was prepared for use with the aforesaid cathode. The graphite counter electrode served as the anode in the electrochemical cell. The anode had 10.8 mg of the MCMB graphite active material. The graphite electrode was fabricated by solvent casting a slurry of MCMB2528 graphite, binder, and casting solvent. MCMB2528 is a mesocarbon microbead material supplied by Alumina Trading, which is the U.S. distributor for the supplier, Osaka Gas Company of Japan. This material has a density of about 2.24 grams per cubic centimeter; a particle size maximum for at least 95% by weight of the particles of 37 microns; median size of about 22.5 microns and an interlayer distance of about 0.336. As in the case of the cathode, the binder was a copolymer of polyvinylidene difluoride (PVdF) and hexafluoropropylene (HFP) in a wt. ratio of PVdF to HFP of 88:12. This binder is sold under the designation of Kynar Flex 2801®, showing it's a registered trademark. Kynar Flex is available from Atochem Corporation. An electronic grade solvent was used. The slurry was cast onto glass and a free standing electrode was formed as the casting solvent evaporated. The electrode composition was approximately as follows on a dry weight basis: 85% graphite; 12% binder; and 3% conductive carbon.

A rocking chair battery was prepared comprising the anode, the cathode, and an electrolyte. The ratio of the active cathode mass to the active anode mass was about 2.14:1. The two electrode layers were arranged with an electrolyte layer in between, and the layers were laminated together using heat and pressure as per the Bell Comm. Res. patents incorporated herein by reference earlier. In a preferred method, the cell is activated

with EC/DMC solvent in a weight ratio of 2:1 in a solution containing 1 M LiPF₆ salt.

Figures 19 and 20 show data for the first four complete cycles of the lithium ion cell having the LiFe_{0.9}Mg_{0.1}PO₄ cathode and the MCMB2528 anode. The cell comprised 23.1mg active LiFe_{0.9}Mg_{0.1}PO₄ and 10.8mg active MCMB2528 for a cathode to anode mass ratio of 2.14. The cell was charged and discharged at 23°C at an approximate C/10 (10 hour) rate between voltage limits of 2.50 V and 3.60 V. The voltage profile plot (Figure 19) shows the variation in cell voltage versus time for the LiFe_{0.9}Mg_{0.1}PO₄/MCMB2528 lithium ion cell. The symmetrical nature of the charge-discharge is clearly evident. The small degree of voltage hysteresis between the charge and discharge processes is evidence for the low overvoltage in the system, which is very good. Figure 20 shows the variation of LiFe_{0.9}Mg_{0.1}PO₄ specific capacity with cycle number. Clearly, over the cycles shown, the material demonstrates good cycling stability.

Figure 21 shows data for the first three complete cycles of the lithium ion cell having the gamma-LiV₂O₅ cathode and the MCMB2528 anode. The cell prepared was a rocking chair, lithium ion cell as described above. The cell comprised 29.1mg gamma-LiV₂O₅ cathode active material and 12.2mg MCMB2528 anode active material, for a cathode to anode mass ratio of 2.39. As stated earlier, the liquid electrolyte used was EC/DMC (2:1) and 1M LiPF₆. The cell was charged and discharged at 23°C at an approximate C/10 (10 hour) rate between voltage limits of 2.50 V and 3.65 V. The voltage profile plot (Figure 21) shows the variation in cell voltage versus time for the LiV₂O₅/MCMB2528 lithium ion cell. The symmetrical nature

of the charge-discharge is clearly evident. The small degree of voltage hysteresis between the charge and discharge processes is evidence for the low overvoltage in the system, which is very good.

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In summary, the invention provides new compounds $\text{Li}_a\text{MI}_b\text{MII}_c(\text{PO}_4)_d$ and gamma- LiV_2O_5 by new methods which are adaptable to commercial scale production. The $\text{Li}_1\text{MI}_{1-y}\text{MII}_y\text{PO}_4$ compounds are isostructural olivine compounds as demonstrated by XRD analysis. Substituted compounds, such as $\text{LiFe}_{1-y}\text{Mg}_y\text{PO}_4$ show better performance than LiFePO_4 unsubstituted compounds when used as electrode active materials. The method of the invention utilizes the reducing capabilities of carbon along with selected precursors and reaction conditions to produce high quality products suitable as electrode active materials or as ion conductors. The reduction capability of carbon over a broad temperature range is selectively applied along with thermodynamic and kinetic considerations to provide an energy-efficient, economical and convenient process to produce compounds of a desired composition and structure. This is in contrast to known methods.

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Principles of carbothermal reduction have been applied to produce pure metal from metal oxides by removal of oxygen. See, for example, U.S. Patent Nos. 2,580,878, 2,570,232, 4,177,060, and 5,803,974. Principles of carbothermal and thermal reduction have also been used to form carbides. See, for example, U.S. Patent Nos. 3,865,745 and 5,384,291; and non-oxide ceramics (see U.S. Patent No. 5,607,297). Such methods are not known to have been applied to form lithiated products or to form products without oxygen abstraction.

from the precursor. The methods described with respect to the present invention provide high quality products which are prepared from precursors which are lithiated during the reaction without oxygen abstraction. This is a 5 surprising result. The new methods of the invention also provide new compounds not known to have been made before.

For example, alpha-V₂O₅ is conventionally lithiated electrochemically against metallic lithium. Thus, alpha-V₂O₅ is not suitable as a source of lithium 10 for a cell. As a result, alpha-V₂O₅ is not used in an ion cell. In the present invention, alpha-V₂O₅ is lithiated by carbothermal reduction using a simple lithium-containing compound and the reducing capability of carbon to form a gamma-LiV₂O₅. The single phase 15 compound, gamma-LiV₂O₅ is not known to have been directly and independently prepared before. There is not known to be a direct synthesis route. Attempts to form it as a single phase resulted in a mixed phase product containing one or more beta phases and having the formula Li_xV₂O₅ 20 with 0 < x ≤ 0.49. This is far different from the present single phase gamma-Li_xV₂O₅ with x equal to one, or very close to one. The flexibility of the process of the present invention is such that it can be conducted over a wide temperature range. The higher the temperature, the 25 more quickly the reaction proceeds. For example, at 650°C, conversion of alpha-V₂O₅ to gamma-LiV₂O₅ occurs in about one hour, and at 500° it takes about 8 hours. Here, about one quarter (1/4) atomic unit of carbon is used to reduce one atomic unit of vanadium, that is, 30 V⁺⁵V⁺⁵ to V⁺⁵V⁺⁴. The predominate reaction is C to CO₂ where for each atomic unit of carbon at ground state zero, a plus 4 oxidation state results. Correspondingly, for each 1/4 atomic unit of carbon, one atomic unit of

vanadium is reduced from V^{+5} to V^{+4} . (See Reaction 5). The new product, gamma- LiV_2O_5 is air-stable and suitable as an electrode material for an ion cell or rocking chair battery.

5 The convenience and energy efficiency of the present process can also be contrasted to known methods for forming products under reducing atmosphere such as H_2 , which is difficult to control, and from complex and expensive precursors. In the present invention, carbon 10 is the reducing agent, and simple, inexpensive and even naturally occurring precursors are useable. For example, it is possible to produce LiFePO_4 from Fe_2O_3 , a simple common oxide. (See Reaction 1b). The production of LiFePO_4 provides a good example of the thermodynamic and 15 kinetic features of the method. Iron phosphate is reduced by carbon and lithiated over a broad temperature range. At about 600°C , the C to CO_2 reaction predominates and takes about a week to complete. At about 750°C , the C to CO reaction predominates and takes 20 about 8 hours to complete. The C to CO_2 reaction requires less carbon reductant but takes longer due to the low temperature kinetics. The C to CO reaction requires about twice as much carbon, but due to the high temperature reaction kinetics, it proceeds relatively 25 fast. In both cases, the Fe in the precursor Fe_2O_3 has oxidation state +3 and is reduced to oxidation (valence) state +2 in the product LiFePO_4 . The C to CO reaction requires that $\frac{1}{2}$ atomic unit of carbon be used for each atomic unit of Fe reduced by one valence state. The CO 30 to CO_2 reaction requires that $1/4$ atomic unit of carbon be used for each atomic unit of Fe reduced by one valence state.

The active materials of the invention are also characterized by being stable in an as-prepared condition, in the presence of air and particularly humid air. This is a striking advantage, because it

5 facilitates preparation of and assembly of battery cathodes and cells, without the requirement for controlled atmosphere. This feature is particularly important, as those skilled in the art will recognize that air stability, that is, lack of degradation on

10 exposure to air, is very important for commercial processing. Air-stability is known in the art to more specifically indicate that a material does not hydrolyze in presence of moist air. Generally, air-stable materials are also characterized by Li being extracted

15 therefrom above about 3.0 volts versus lithium. The higher the extraction potential, the more tightly bound the lithium ions are to the host lattice. This tightly bound property generally confers air stability on the material. The air-stability of the materials of the

20 invention is consistent with the stability demonstrated by cycling at the conditions stated herein. This is in contrast to materials which insert Li at lower voltages, below about 3.0 volts versus lithium, and which are not air-stable, and which hydrolyze in moist air.

25 While this invention has been described in terms of certain embodiments thereof, it is not intended that it be limited to the above description, but rather only to the extent set forth in the following claims.

30 The embodiments of the invention in which an exclusive property or privilege is claimed are defined in the following claims.

What Is Claimed Is:

1. A compound represented by the nominal general formula $\text{LiFe}_{1-y}\text{M}_y\text{PO}_4$ where $0 < y < 0.5$ and M is at least one selected from the group consisting of Mg, Ca, Zn, Sr, Pb, Cd, Sn, Ba, Be, and mixtures thereof.
2. The compound of claim 1 where $0 < y \leq 0.2$.
3. The compound of claim 1 having the nominal formula $\text{LiFe}_{1-y}\text{Mg}_y\text{PO}_4$.
4. The compound of claim 1 having the nominal formula $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$.
5. The compound of claim 1 having the nominal formula $\text{LiFe}_{1-y}\text{Ca}_y\text{PO}_4$.
6. The compound of claim 1 having the nominal formula $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$.
7. The compound of claim 1 having the nominal formula $\text{LiFe}_{1-y}\text{Zn}_y\text{PO}_4$.
8. The compound of claim 1 having the nominal formula $\text{LiFe}_{0.9}\text{Zn}_{0.1}\text{PO}_4$.
9. The compound of claim 1 which has an olivine structure.
10. A compound having the nominal general formula $\text{LiMI}_{1-y}\text{MII}_y\text{PO}_4$ where MI is selected from the group

consisting of Fe, Co, Ni, Mn, Cu, V, Sn, Ti, Cr, and mixtures thereof, and MII is selected from the group consisting of Mg, Ca, Zn, Sr, Pb, Cd, Sn, Ba, Be, and mixtures thereof, and where y is greater than zero and less than one.

11. An olivine compound having the nominal general formula $\text{LiMI}_{1-y}\text{MII}_y\text{PO}_4$ where MI is at least one transition metal selected from Groups 4 to 11 inclusive of the Periodic Table and has a +2 valence state, MII is at least one metallic element which is selected from Groups 2, 12, and 14 of the Periodic Table and has a +2 valence state, and where

$$0 < y < 1.$$

12. The compound of claim 11 wherein MI is selected from the group consisting of V, Cr, Mn, Fe, Co, Cu, and mixtures thereof.

13. The compound of claim 11 wherein MII is selected from the group consisting of Mg, Ca, Ba, Zn, and mixtures thereof.

14. An electrode comprising a binder, an electrically conductive carbonaceous material, and an active material represented by the nominal general formula $\text{LiFe}_{1-y}\text{M}_y\text{PO}_4$ where $0 < y < 0.5$ and M is at least one selected from the group consisting of Mg, Ca, Zn, Sr, Pb, Cd, Sn, Ba, Be, and mixtures thereof.

15. The electrode of claim 14 wherein said active material is a compound where $0 < y \leq 0.2$.

16. The electrode of claim 14 wherein said active material is a compound having the nominal formula $\text{LiFe}_{1-y}\text{Mg}_y\text{PO}_4$.

17. The electrode of claim 14 wherein said active material is a compound having the nominal formula $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$.

18. The electrode of claim 14 wherein said active material is a compound having the nominal formula $\text{LiFe}_{1-y}\text{Ca}_y\text{PO}_4$.

19. The electrode of claim 14 wherein said active material is a compound having the nominal formula $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$.

20. The electrode of claim 14 wherein said active material is a compound having the nominal formula $\text{LiFe}_{1-y}\text{Zn}_y\text{PO}_4$.

21. The electrode of claim 14 wherein said active material is a compound having the nominal formula $\text{LiFe}_{0.9}\text{Zn}_{0.1}\text{PO}_4$.

22. The electrode of claim 14 wherein said active material has an olivine structure.

23. An electrode comprising a binder, an electrically conductive carbonaceous material and an active material which is an olivine compound represented by the nominal general formula $\text{LiMI}_{1-y}\text{MII}_y\text{PO}_4$ where MI is at least one transition metal selected from Groups 4 to 11 inclusive of the Periodic Table and has a +2 valence state, MII is at least one metallic element which is

selected from Groups 2, 12, and 14 of the Periodic Table and has a +2 valence state, and where $0 < y < 1$.

24. The electrode of claim 23 wherein MI is selected from the group consisting of V, Cr, Mn, Fe, Co, Cu, and mixtures thereof.

25. The electrode of claim 23 wherein MII is selected from the group consisting of Mg, Ca, Ba, Zn, and mixtures thereof.

26. A lithium ion battery comprising:
a first electrode having an active material represented by the nominal general formula $\text{LiFe}_{1-y}\text{M}_y\text{PO}_4$ where $0 < y < 0.5$ and M is at least one selected from the group consisting of Mg, Ca, Zn, Sr, Pb, Cd, Sn, Ba, Be, and mixtures thereof;
a second electrode which is a counter-electrode to said first electrode; and
an electrolyte between said electrodes.

27. The battery of claim 26 wherein said active material is a compound where $0 < y \leq 0.2$.

28. The battery of claim 26 wherein said active material is a compound having the nominal formula $\text{LiFe}_{1-y}\text{M}_y\text{PO}_4$.

29. The battery of claim 26 wherein said active material is a compound having the nominal formula $\text{LiFe}_{0.9}\text{Mg}_{0.1}\text{PO}_4$.

30. The battery of claim 26 wherein said active material is a compound having the nominal formula $\text{LiFe}_{1-y}\text{Ca}_y\text{PO}_4$.

31. The battery of claim 26 wherein said active material is a compound having the nominal formula $\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$.

32. The battery of claim 26 wherein said active material is a compound having the nominal formula $\text{LiFe}_{1-y}\text{Zn}_y\text{PO}_4$.

33. The battery of claim 26 wherein said active material is a compound having the nominal formula $\text{LiFe}_{0.9}\text{Zn}_{0.1}\text{PO}_4$.

34. The battery of claim 26 wherein said active material has an olivine structure.

35. A lithium ion battery comprising:
a first electrode having an active material which is an olivine compound represented by the nominal general formula $\text{LiMI}_{1-y}\text{MII}_y\text{PO}_4$ where MI is at least one transition metal selected from Groups 4 to 11 inclusive of the Periodic Table and has a +2 valence state, MII is at least one metallic element which is selected from Groups 2, 12, and 14 of the Periodic Table and has a +2 valence state, and where $0 < y < 1$;
a second electrode which is a counter-electrode to said first electrode; and
an electrolyte between said electrodes.

36. The battery of claim 35 wherein MI is selected from the group consisting of V, Cr, Mn, Fe, Co, Cu, and mixtures thereof.

37. The battery of claim 35 wherein MII is selected from the group consisting of Mg, Ca, Ba, Zn, and mixtures thereof.

38. A compound represented by the nominal formula LiV_2O_5 and having an orthorhombic crystal structure with $a = 9.7 \pm 0.2\text{\AA}$, $b = 3.6 \pm 0.2\text{\AA}$ and $c = 10.6 \pm 0.2\text{\AA}$.

39. The compound of claim 38 which is prepared by reacting vanadium pentoxide and lithium compound in the presence of carbon at an elevated temperature sufficient to form said single phase compound.

40. An electrode comprising a binder, an electrically conductive carbonaceous material and an active material which is a compound represented by the nominal general formula LiV_2O_5 and having an orthorhombic crystal structure with $a = 9.7 \pm 0.2\text{\AA}$, $b = 3.6 \pm 0.2\text{\AA}$ and $c = 10.6 \pm 0.2\text{\AA}$.

41. A lithium ion battery comprising:
a first electrode having an active material which is a compound represented by the nominal formula LiV_2O_5 and having orthorhombic crystal structure which $a = 9.7 \pm 0.2\text{\AA}$, $b = 3.6 \pm 0.2\text{\AA}$ and $c = 10.6 \pm 0.2\text{\AA}$;

a second electrode which is a counter-electrode to said first electrode; and
an electrolyte between said electrodes.

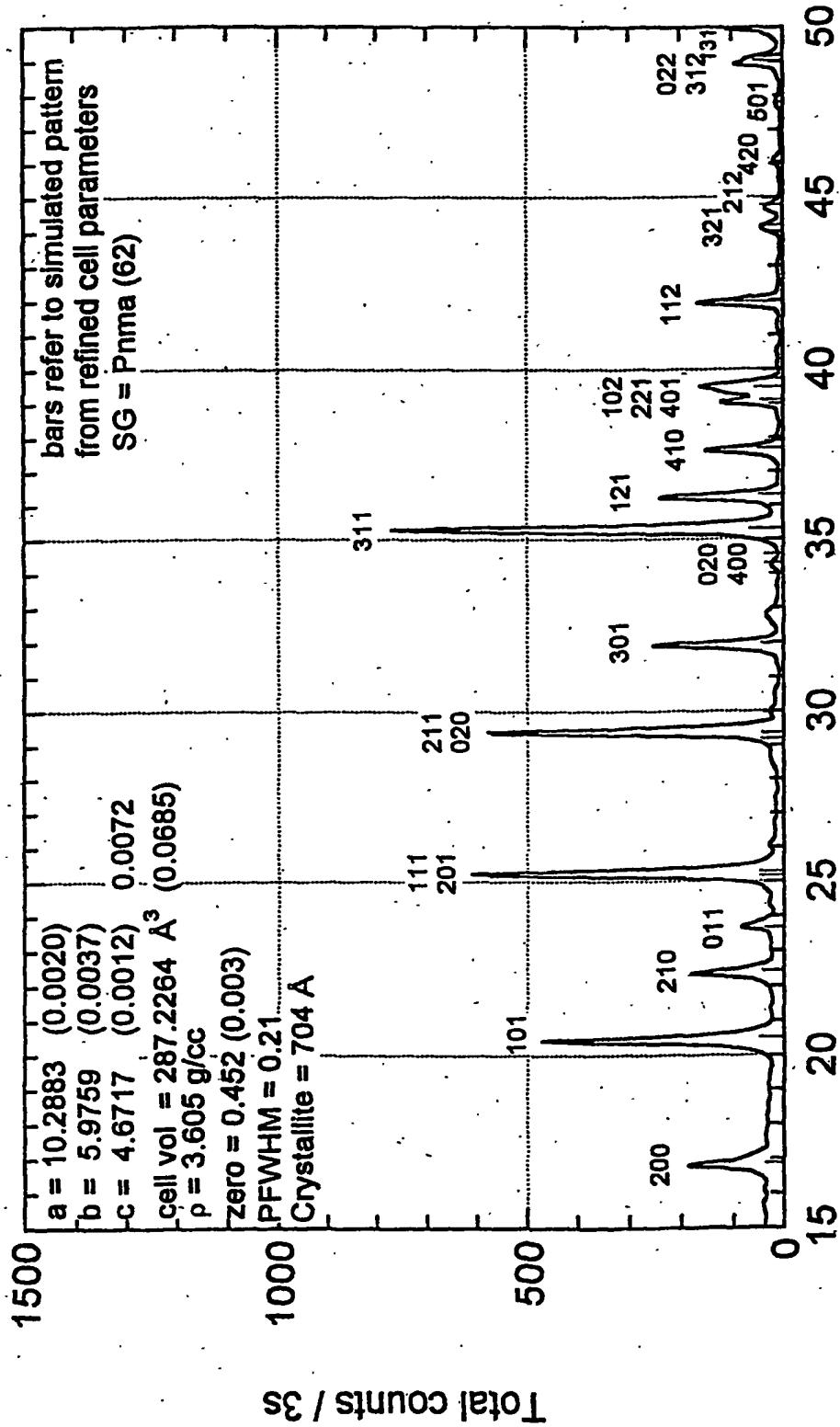
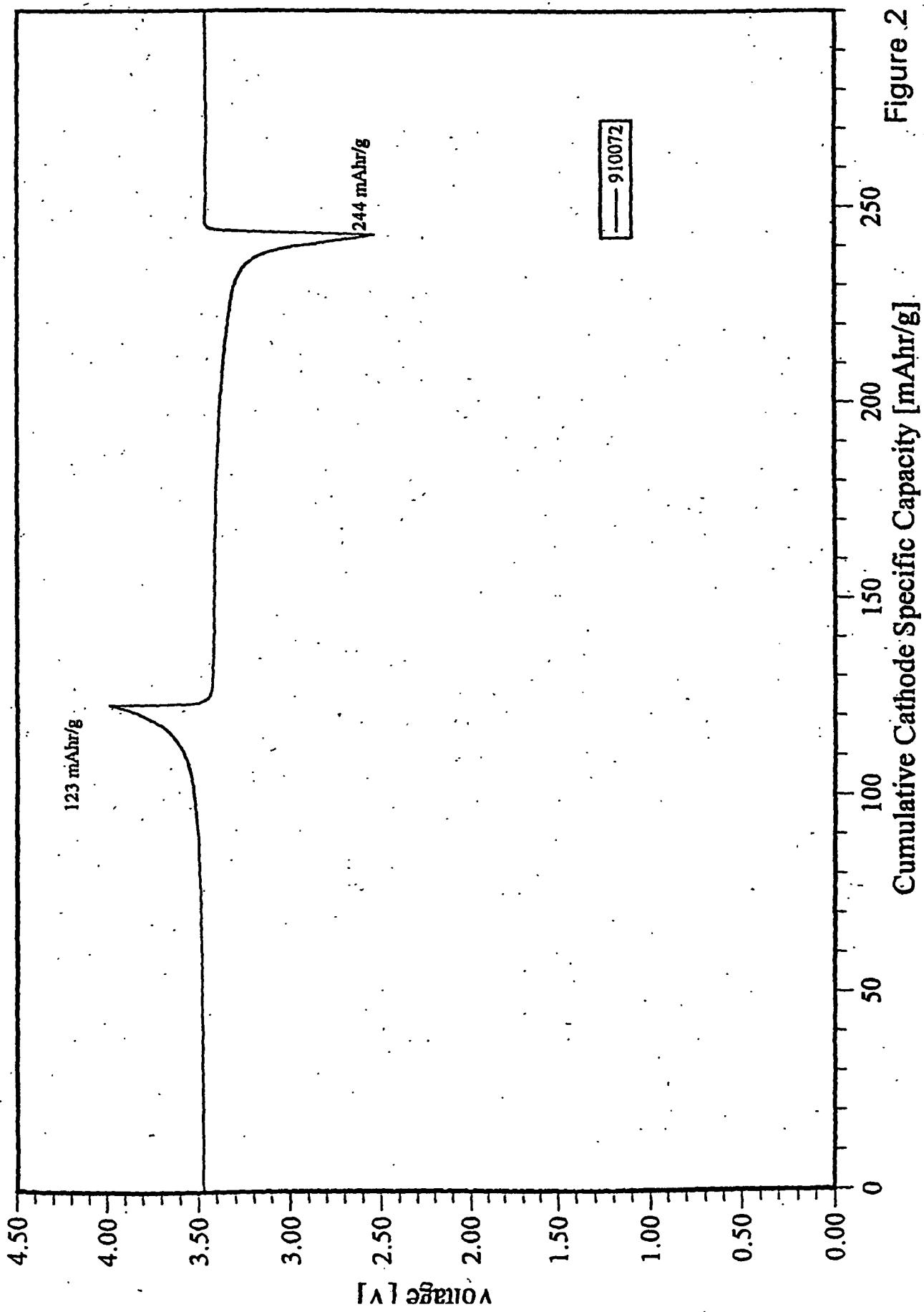
2S1036A1 (LiFePO₄)

Figure 1

2-Theta / 0

LiFePO₄ 2.5 -4.0 V

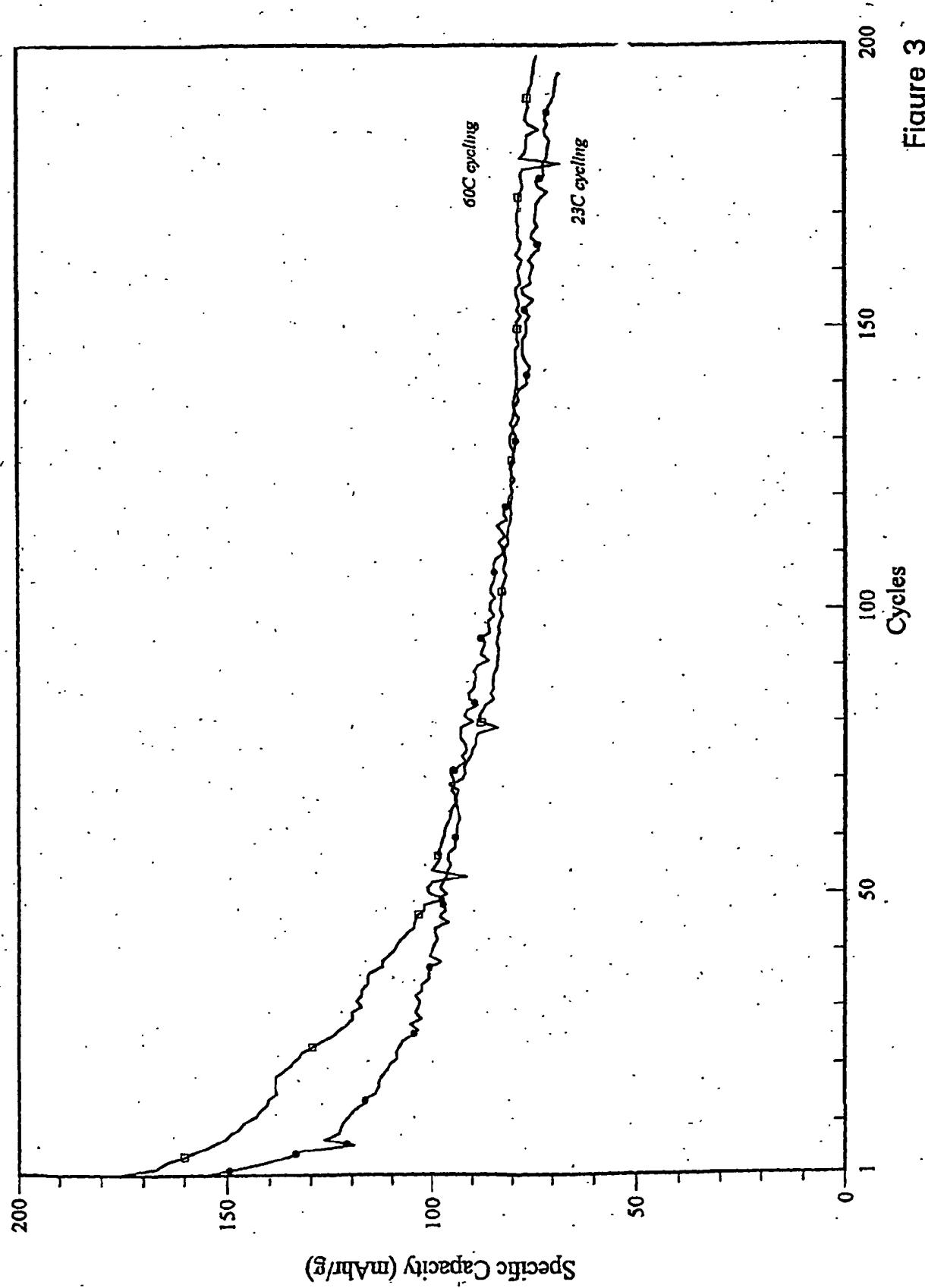
Carbothermal LiFePO₄ vs Li

Figure 3

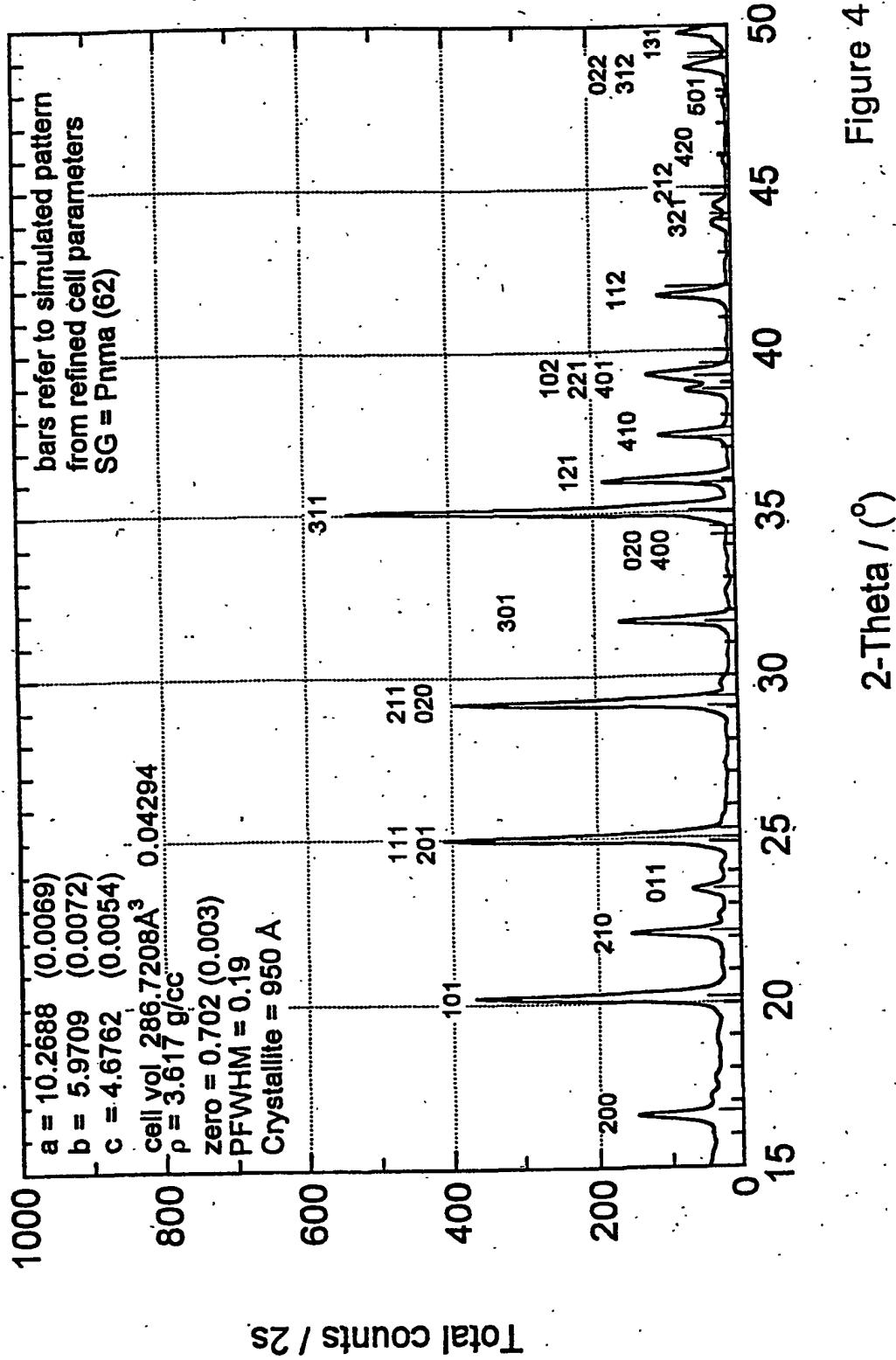
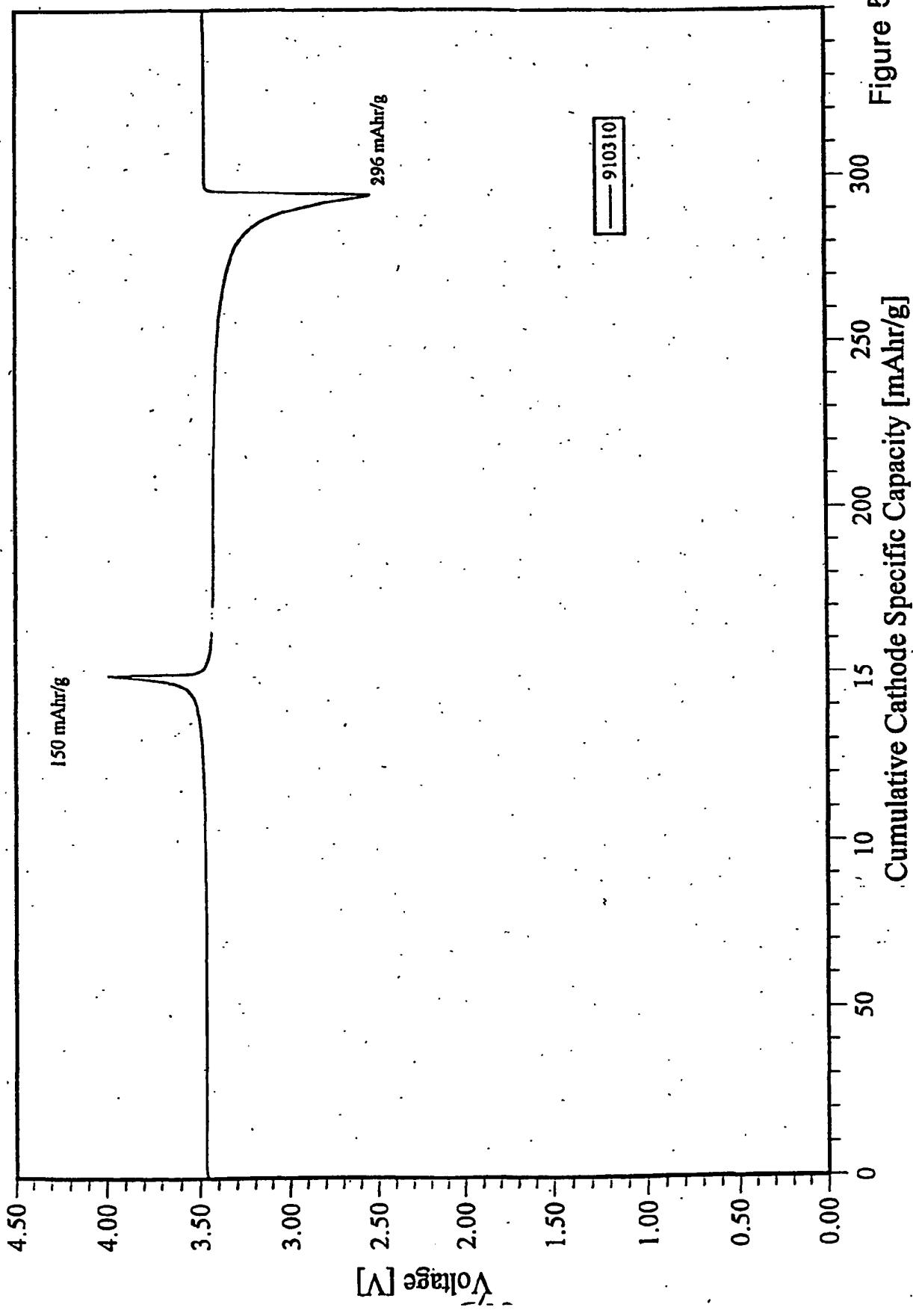
1S0937A1 (LiFe_{0.9}Mg_{0.1}PO₄)

Figure 4

LiFe_{0.9}Mg_{0.1}PO₄ 2.5 - 4.0 V

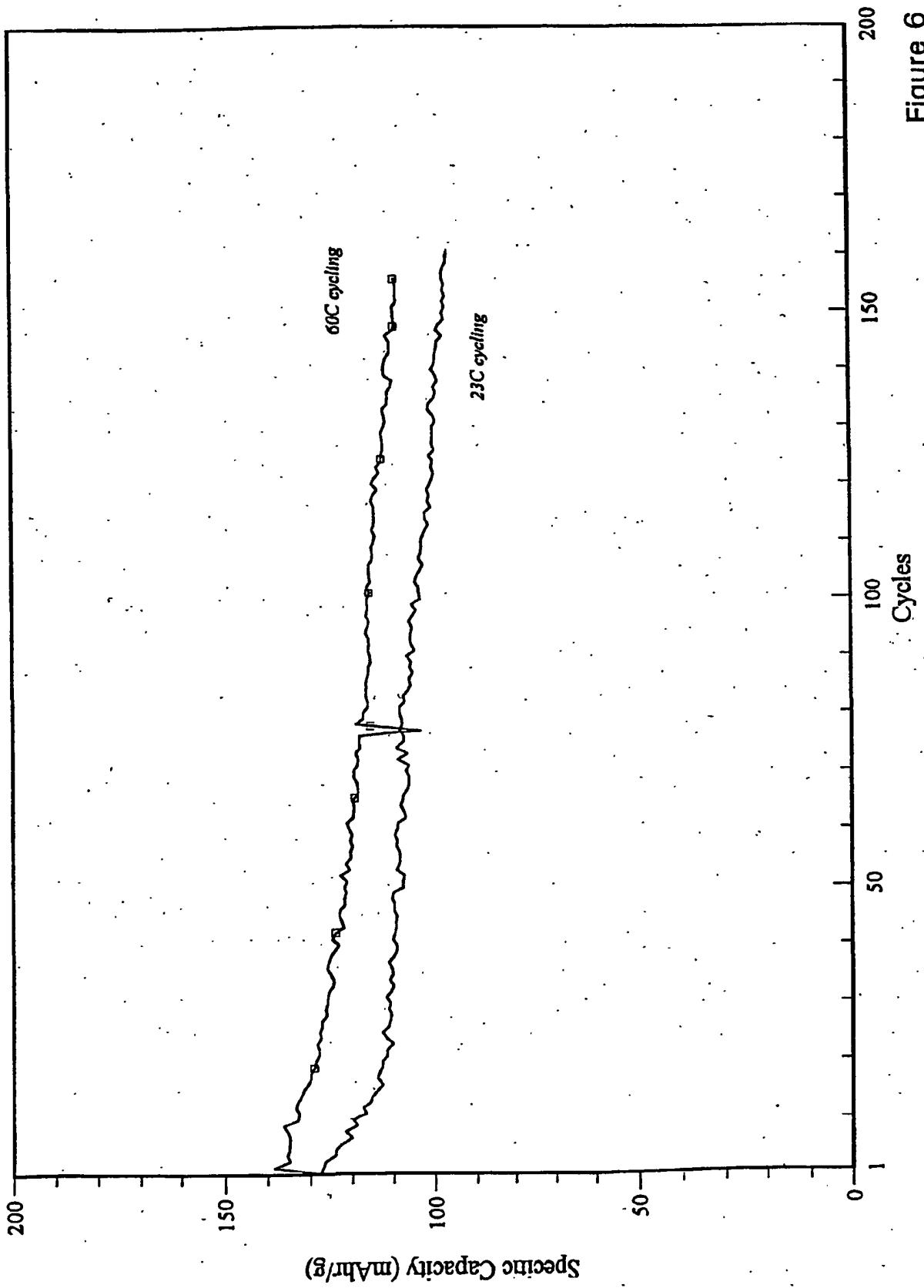
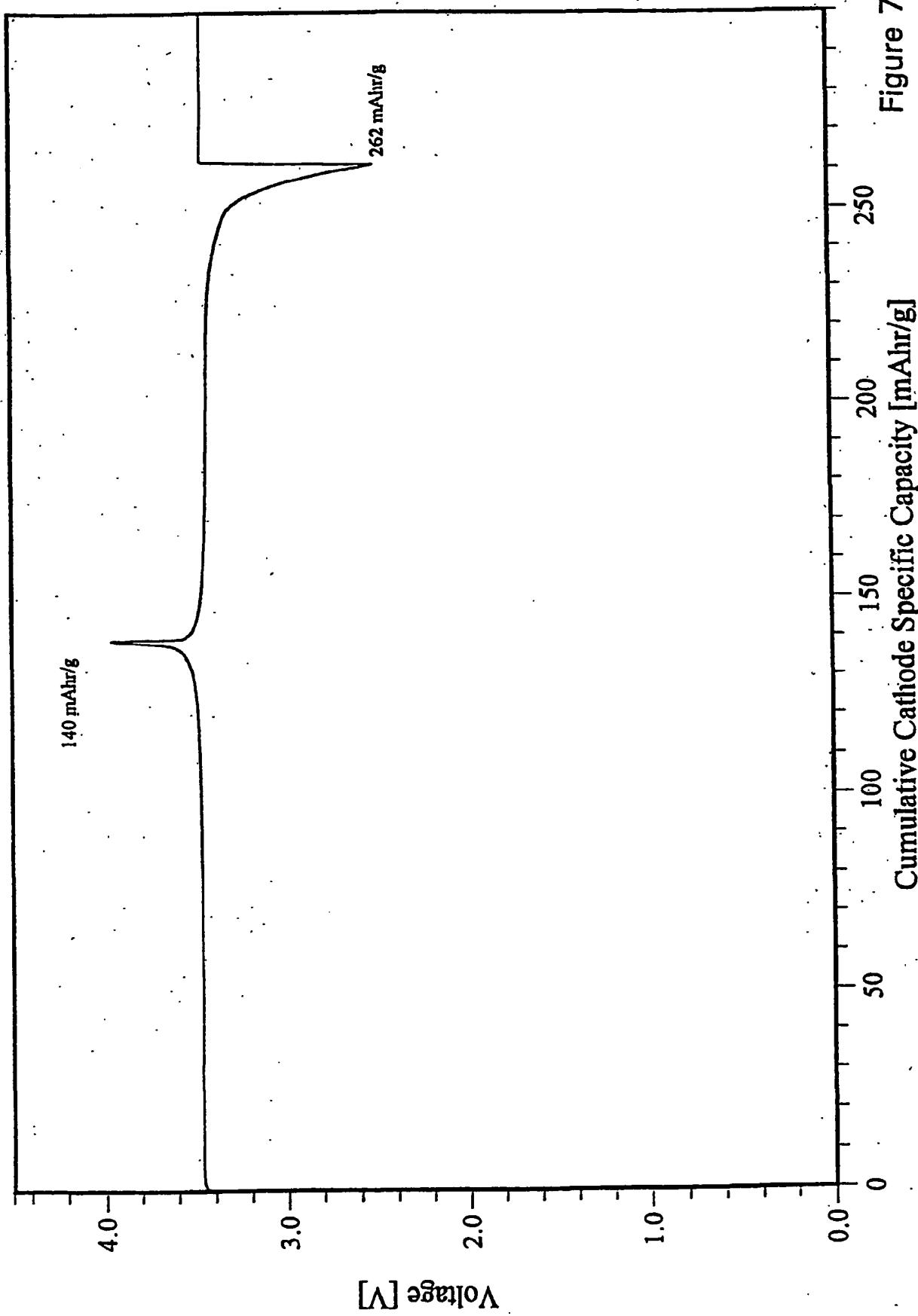
Carbothermal LiMg0.1Fe0.9PO_4 vs Li

Figure 6

LiFe_{0.8}Mg_{0.1}PO₄ 2.5 - 4.0 V

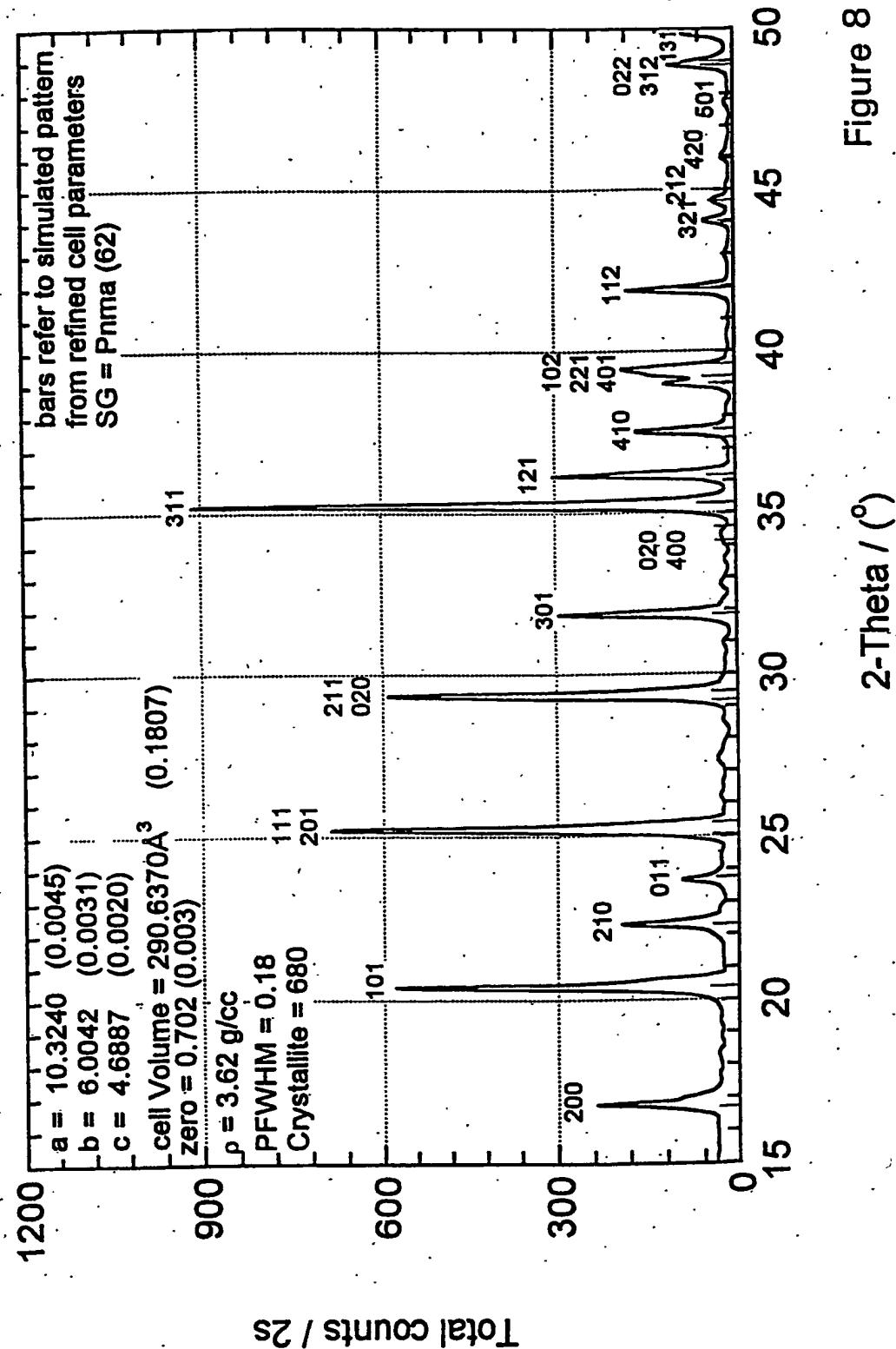
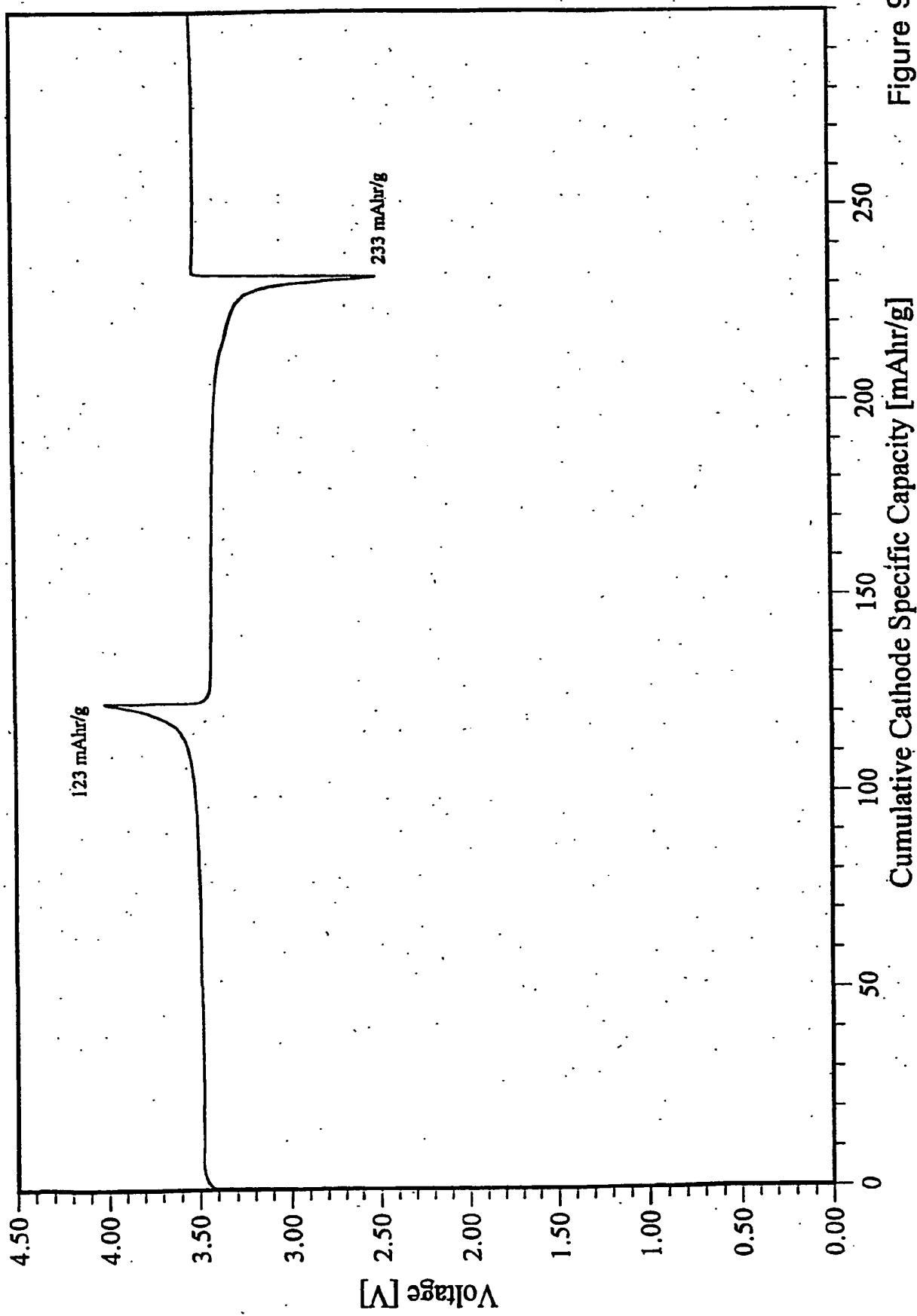
1S0937A1 ($\text{LiFe}_{0.9}\text{Ca}_{0.1}\text{PO}_4$)

Figure 8

2-Theta / (°)

LiFe0.8Ca0.2PO4 2.5 - 4.0 V



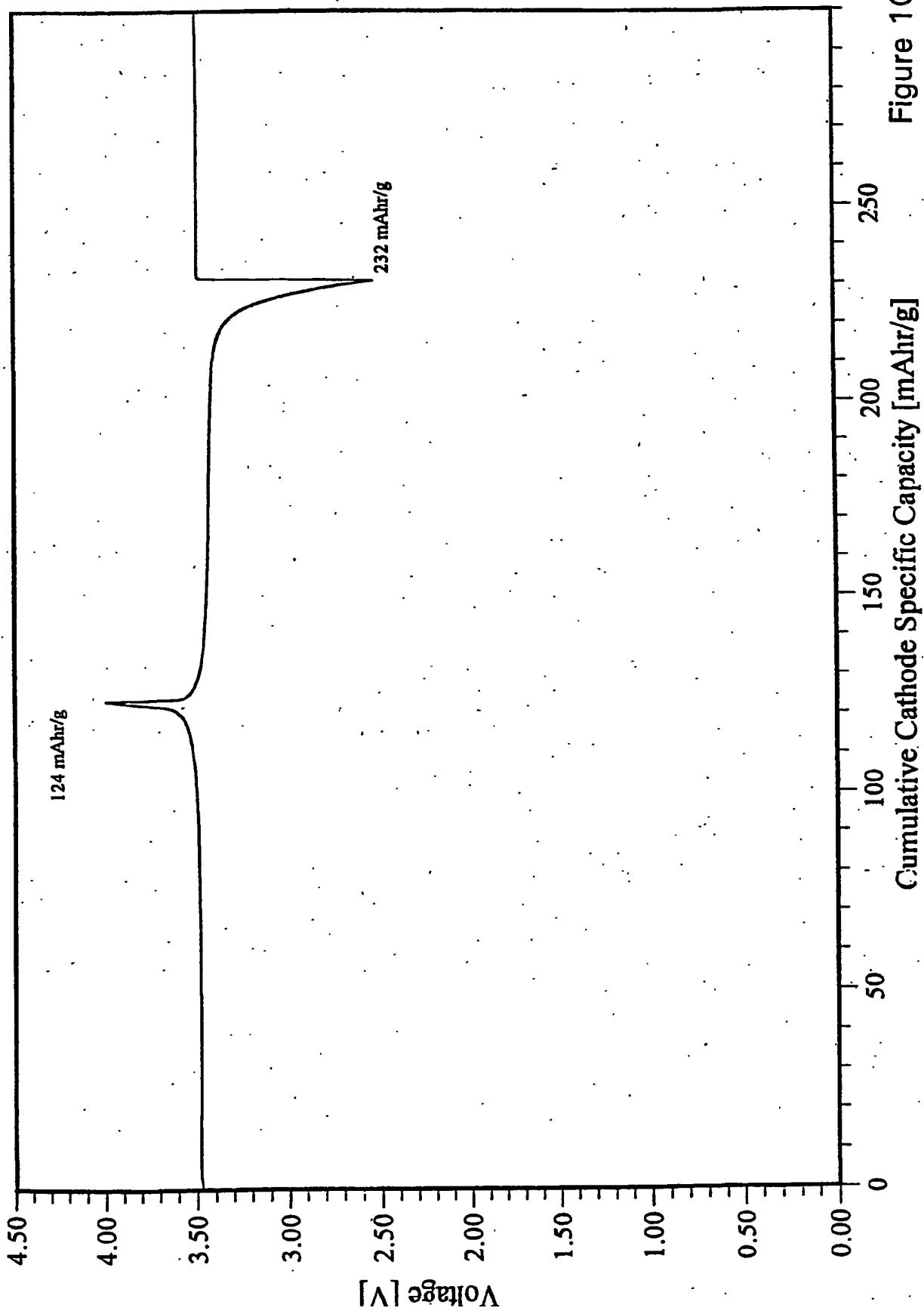
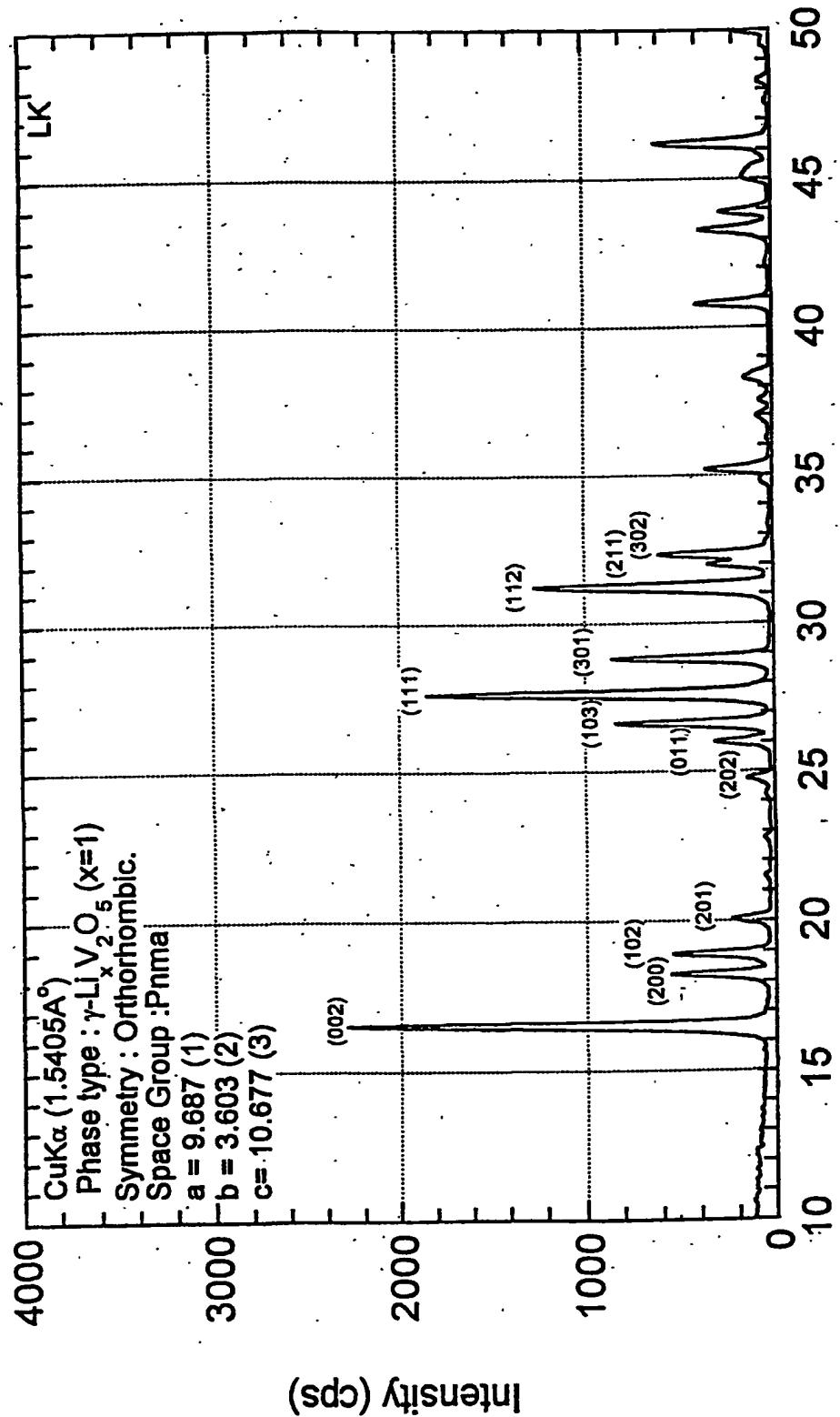
LiFe_{0.8}Zn_{0.2}PO₄ 2.5 - 4.0 V

Figure 10

gamma- $\text{Li}_x\text{V}_2\text{O}_6$ - carbo-thermalFigure 11
2-theta ($^{\circ}$)

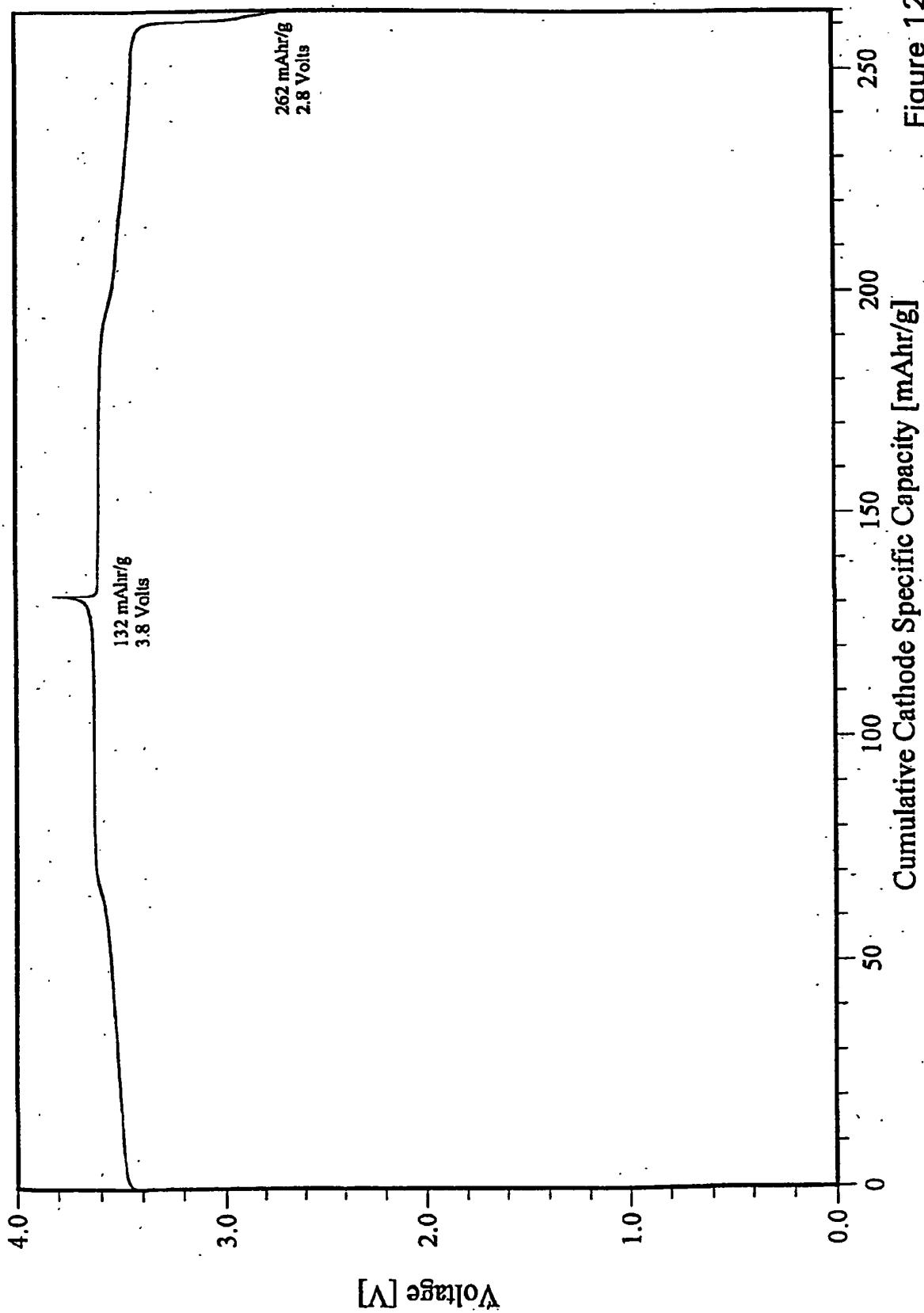
gamma-LiV₂O₅ Synthesized by Carbothermal Reduction Method

Figure 12

gamma- LiV₂O₅ vs Li

~C/2 rate, 3.0-3.75V

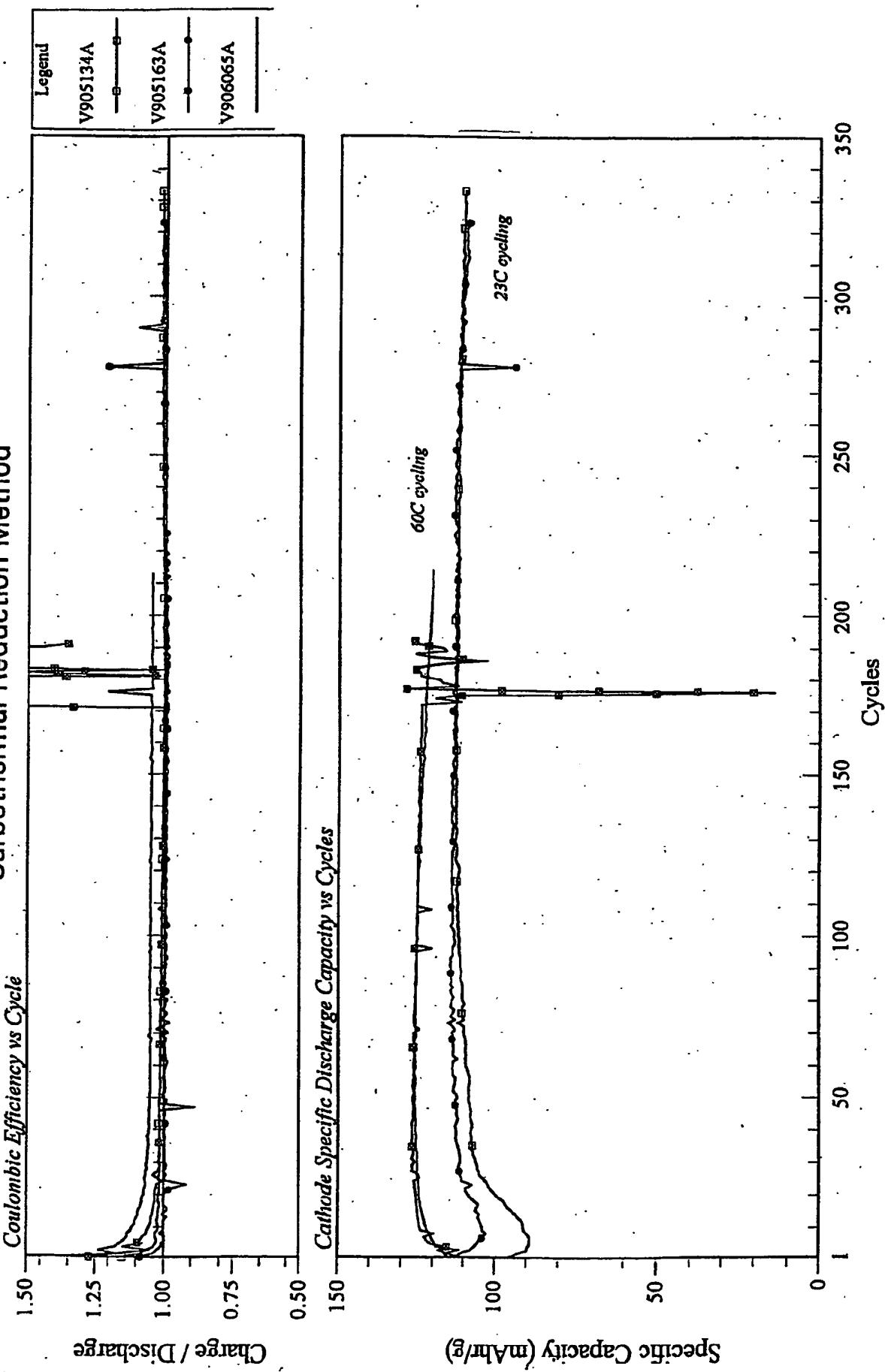


Figure 13

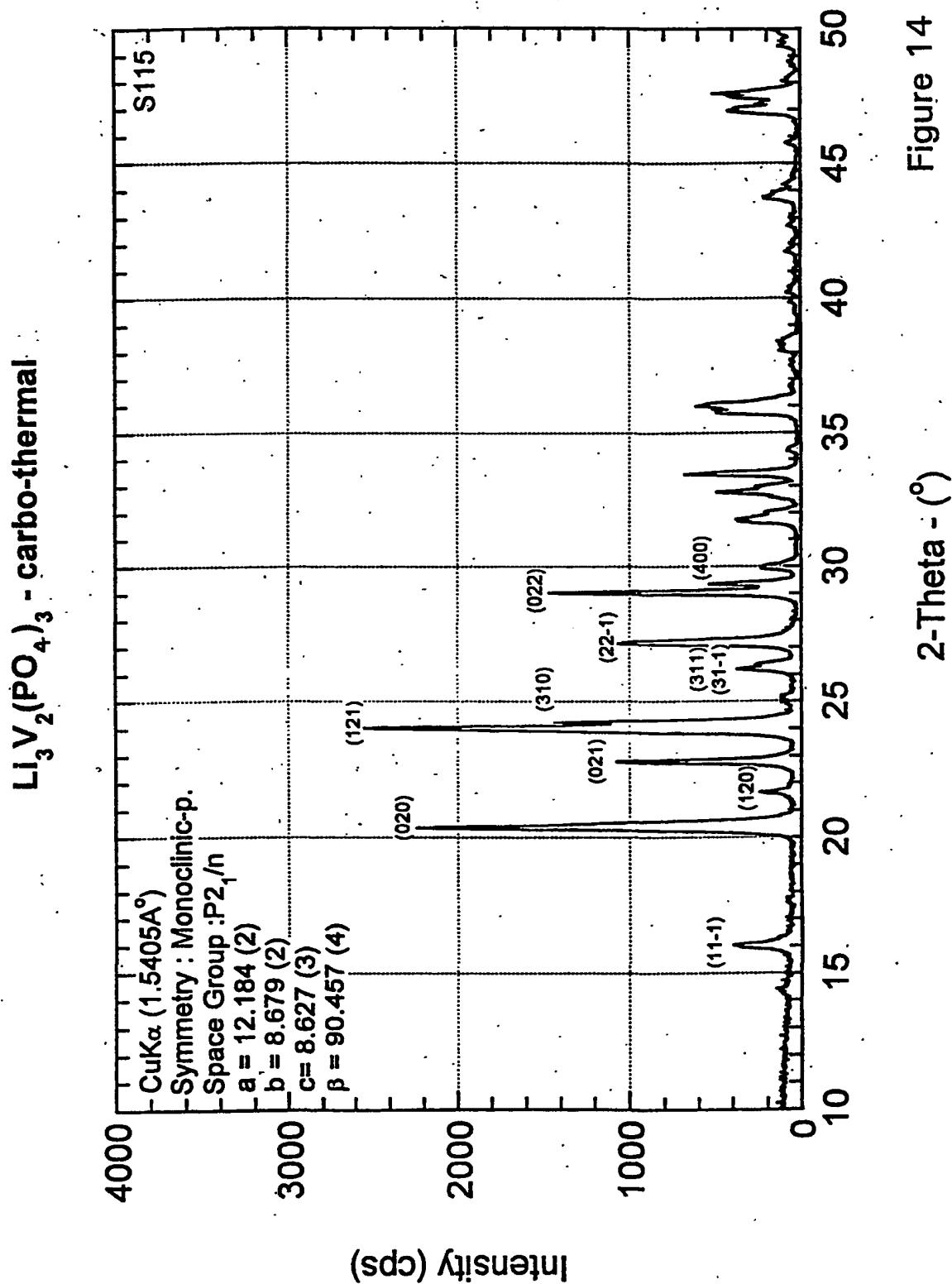


Figure 14

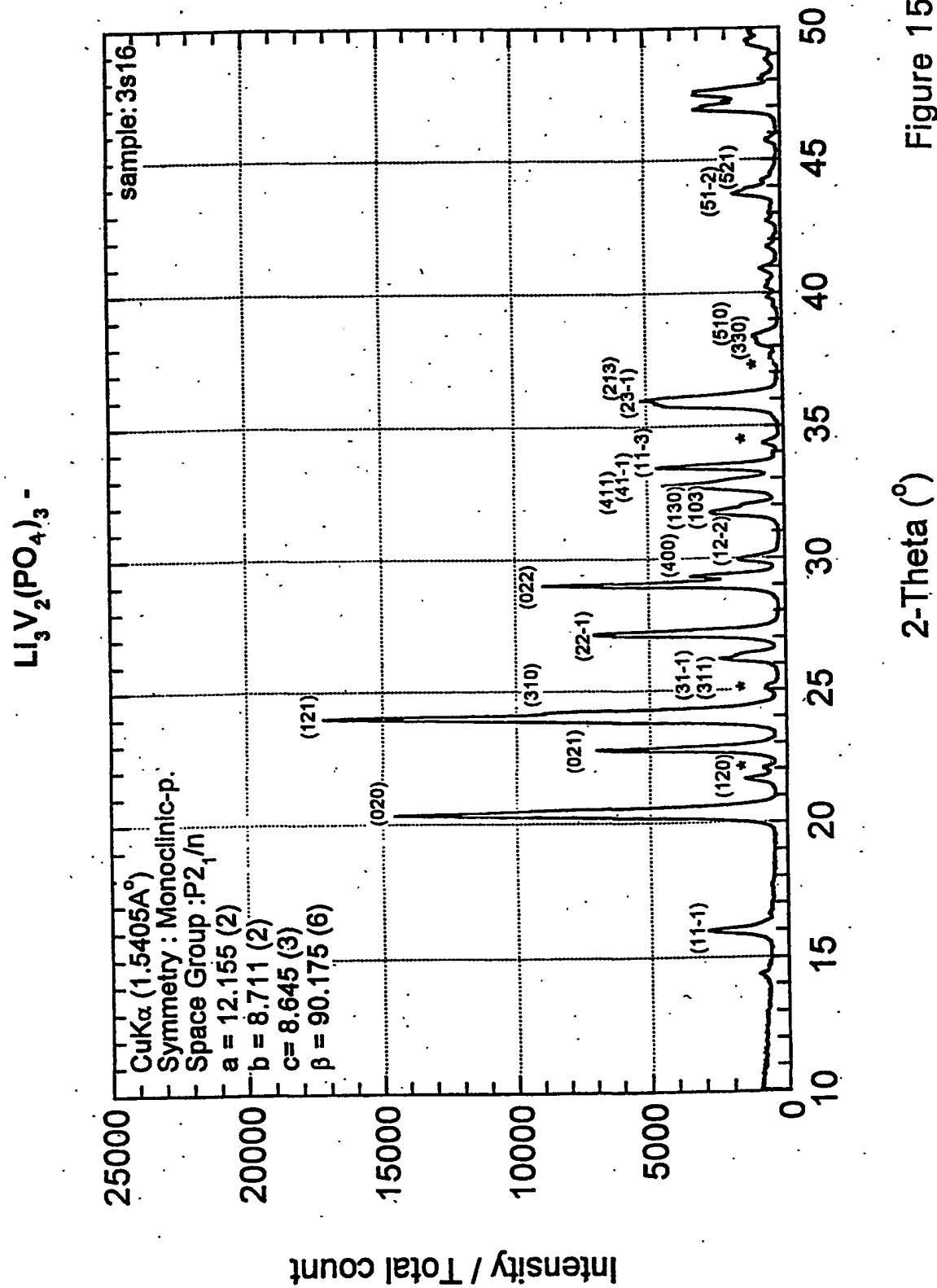


Figure 15

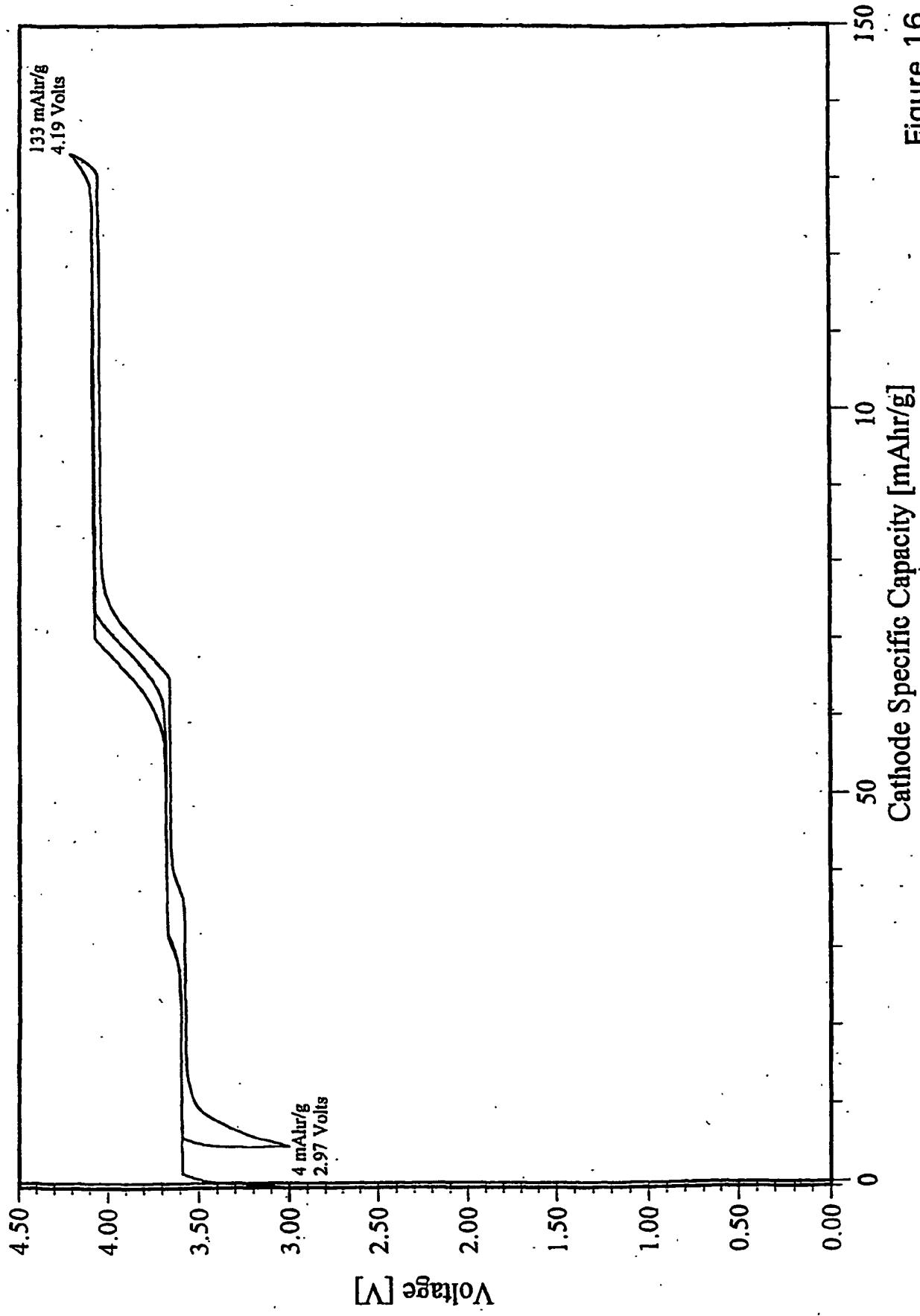
Li₃V₂(PO₄)₃ Synthesis by Carbothermal Reduction Method 13.8 mg active

Figure 16

Li₃V₂(PO₄)₃ Synthesis by Carbothermal Reduction Method

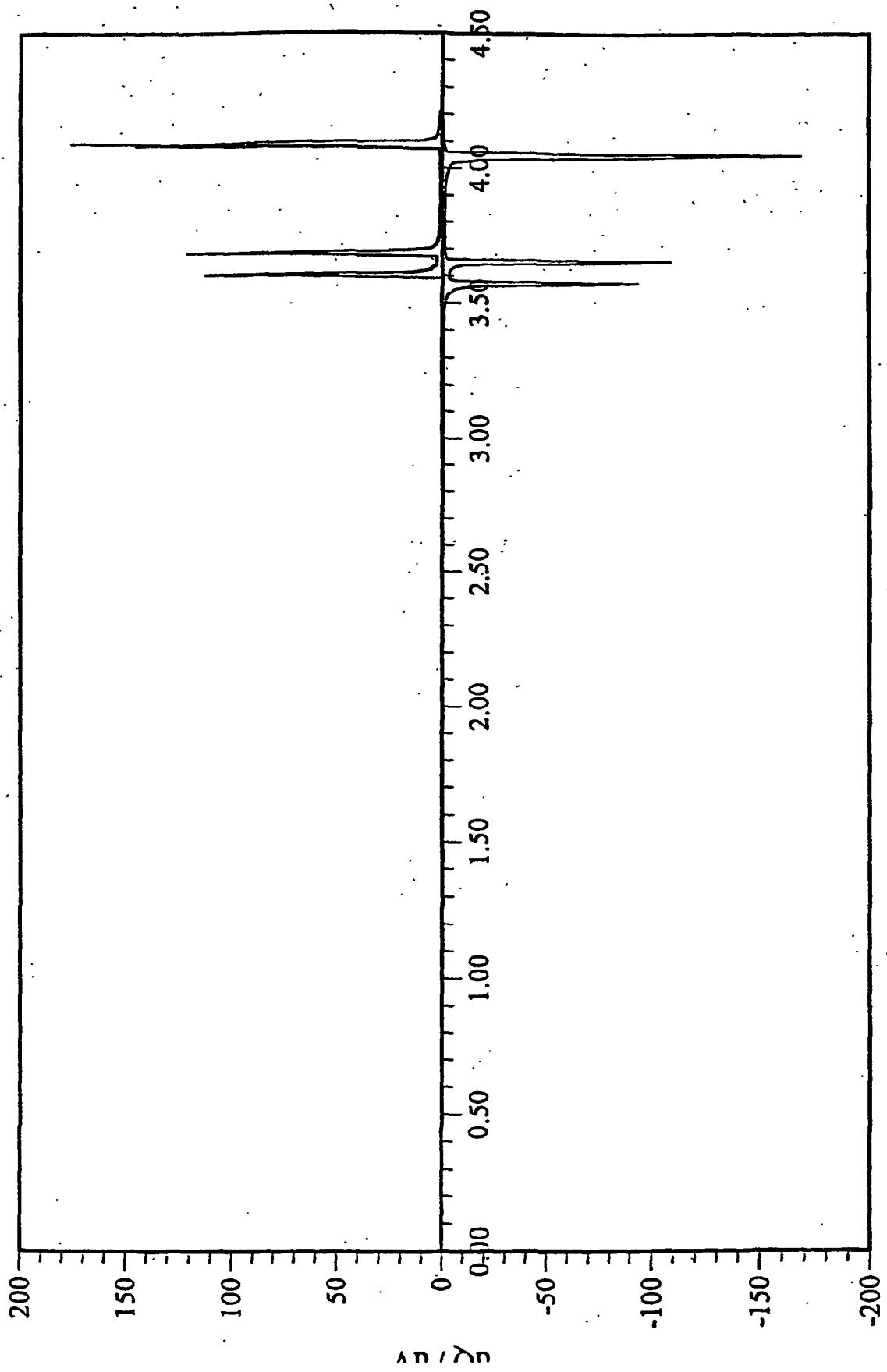


Figure 17
Voltage [V]

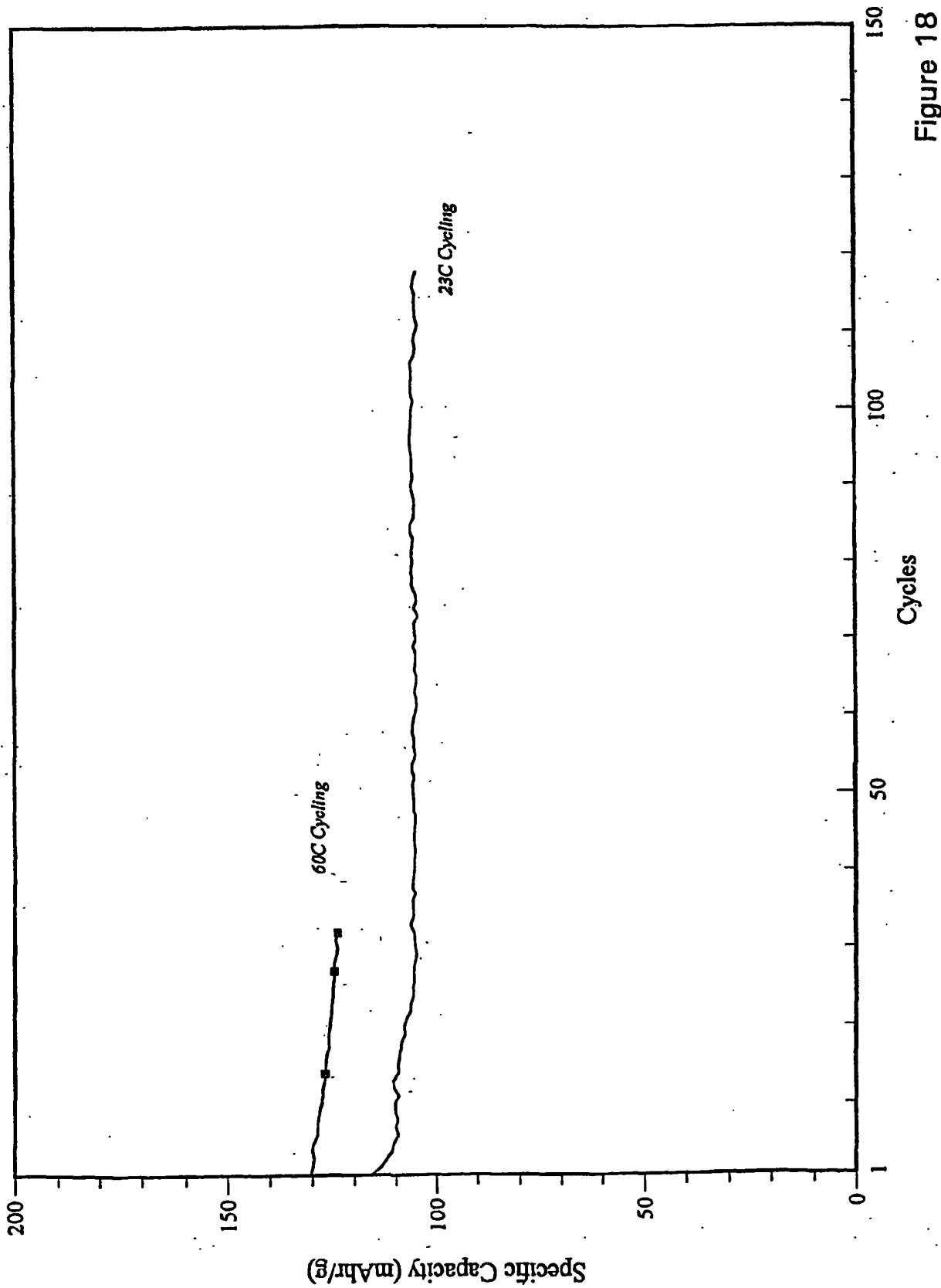
Carbothermal LiMg0.2Fe0.8PO_4 vs Li

Figure 18

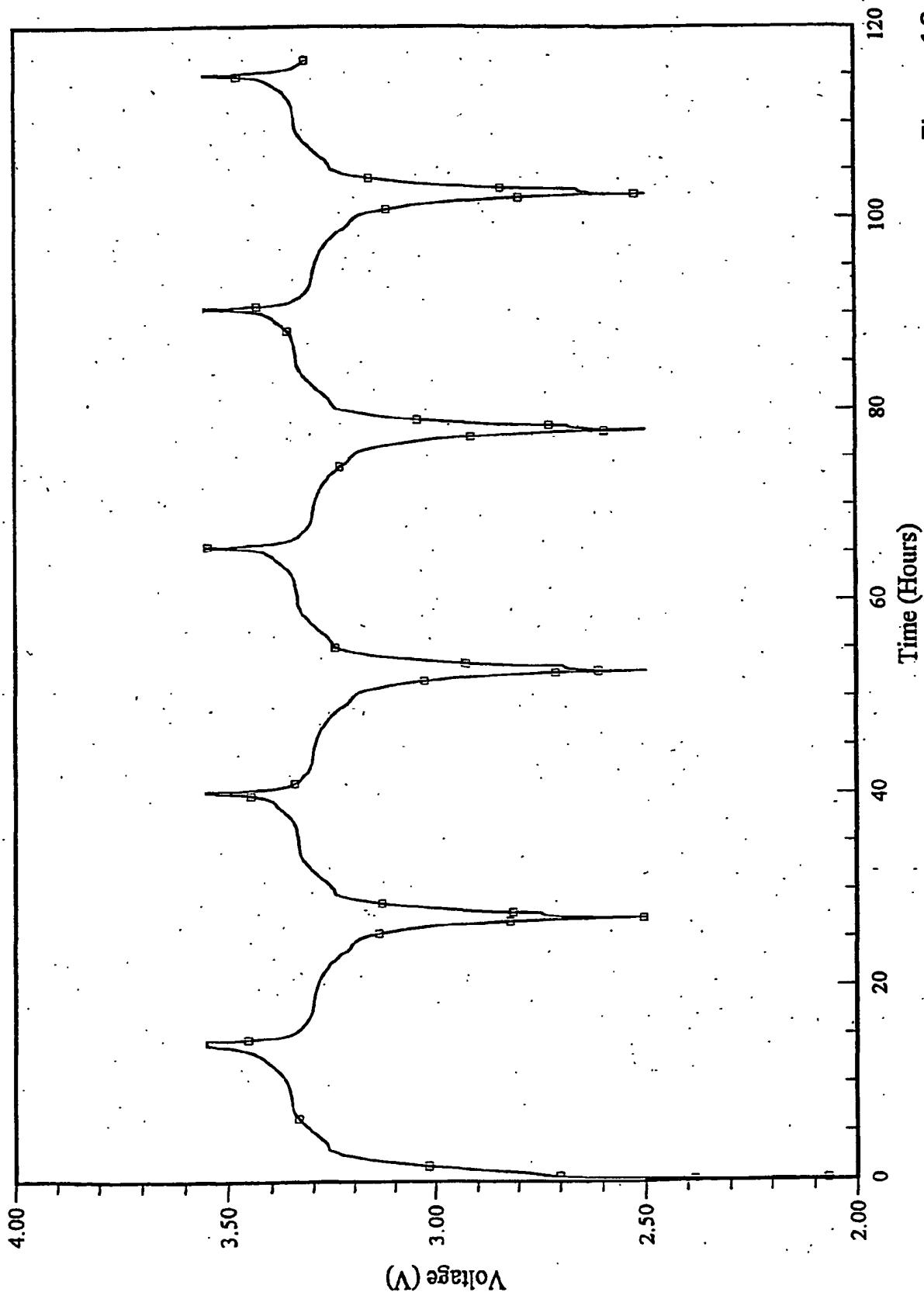
Voltage Profile for $\text{LiMg}_{0.1}\text{Fe}_{0.9}\text{PO}_4$ vs MCMB

Figure 19

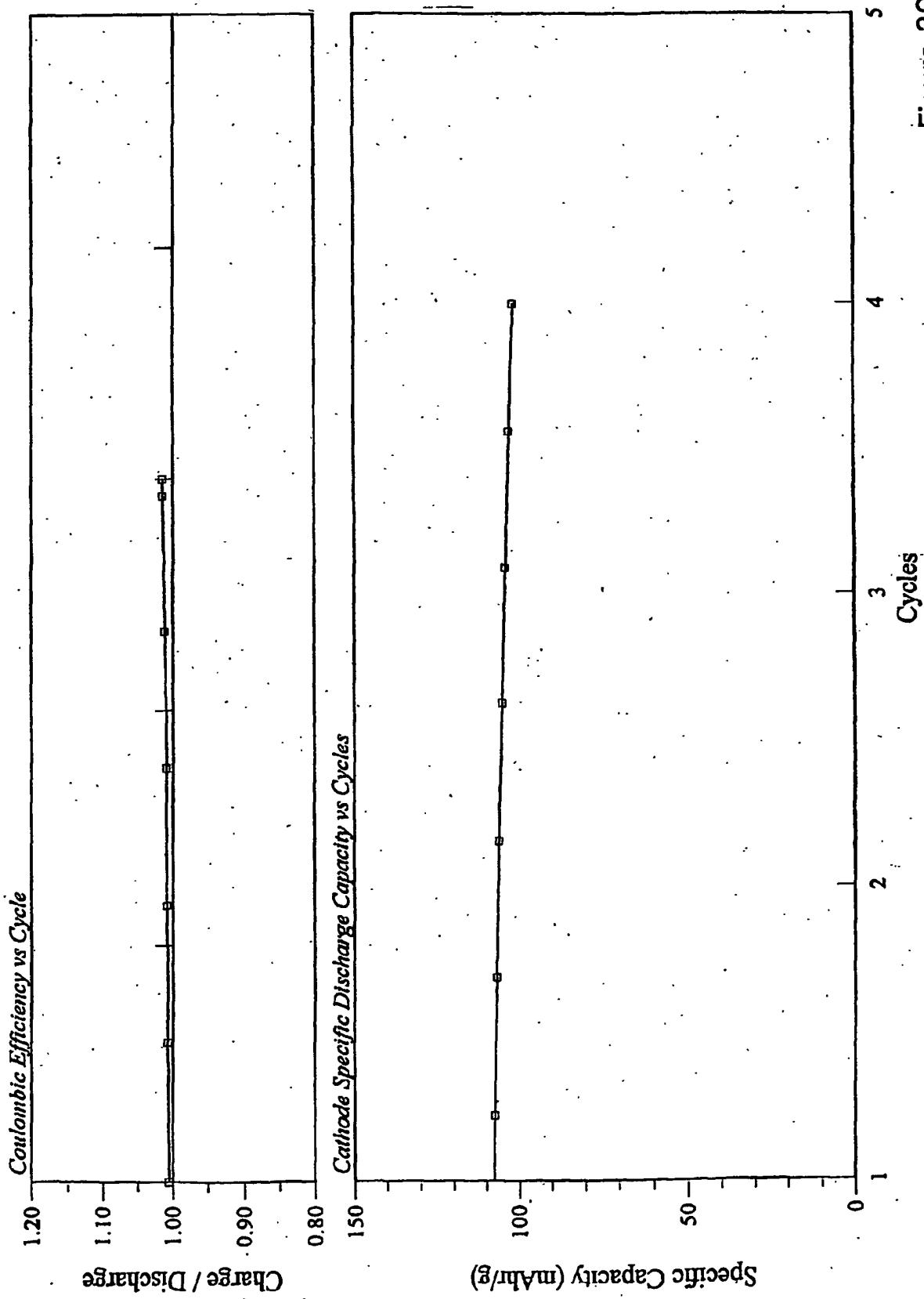
Carbothermal LiMg_{0.1}Fe_{0.9}PO₄ vs MCMB

Figure 20

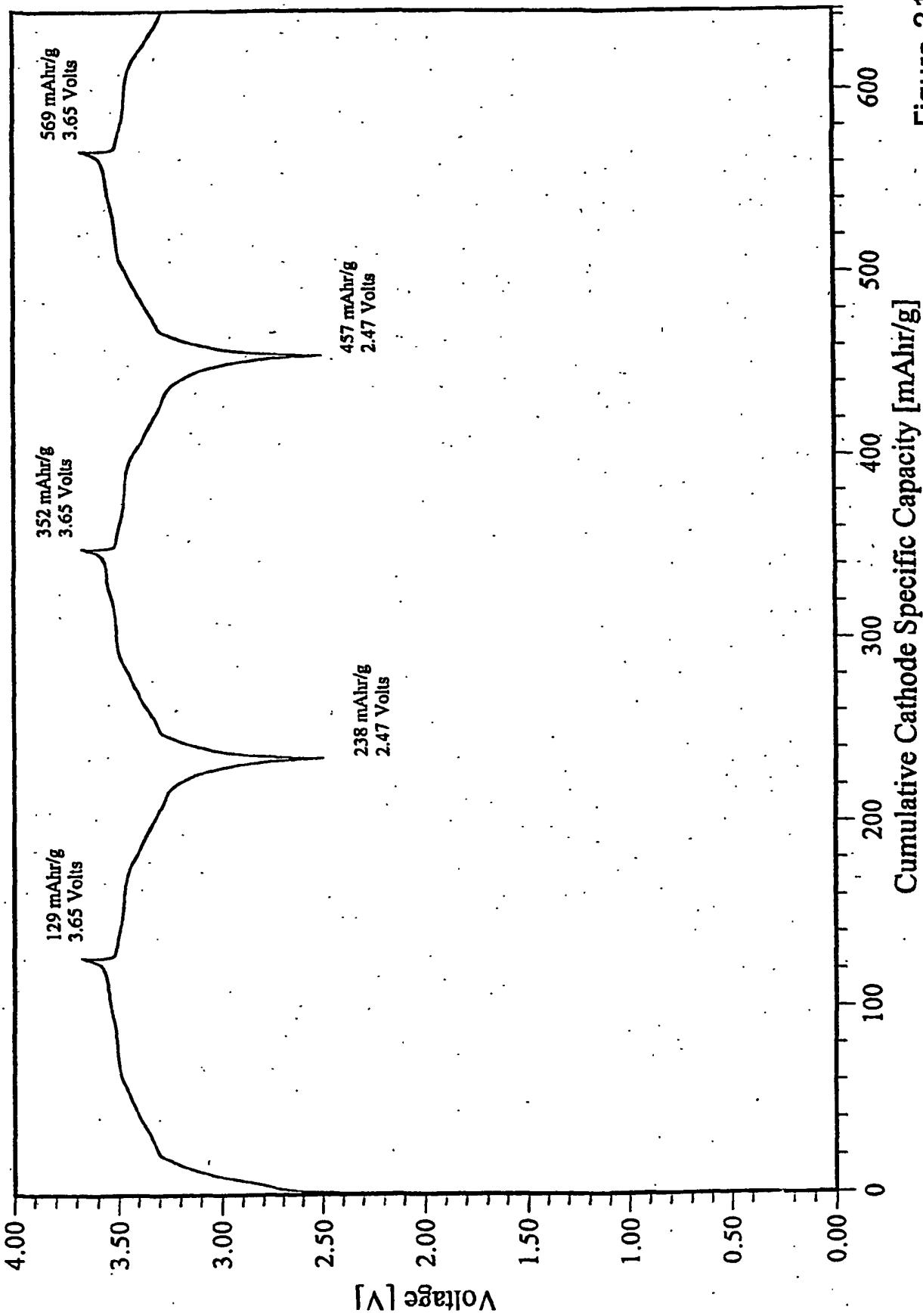
Carbothermal LiV₂O₅/MCMB2528 2.5-3.65 Vgamma-LiV₂O₆

Figure 21

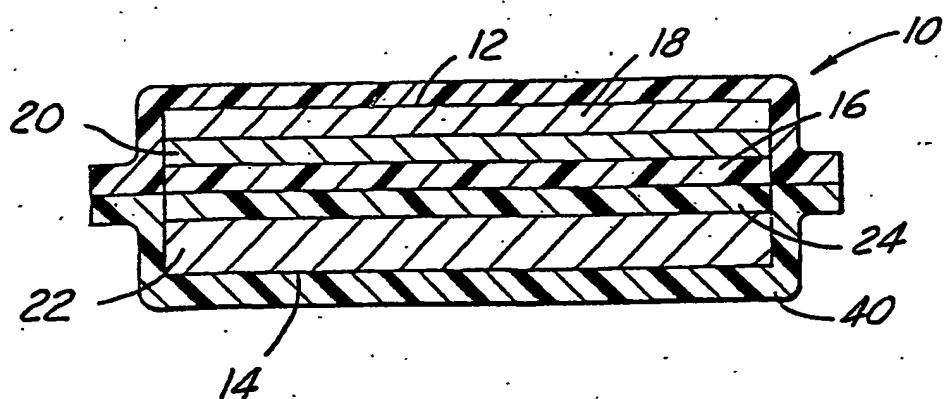
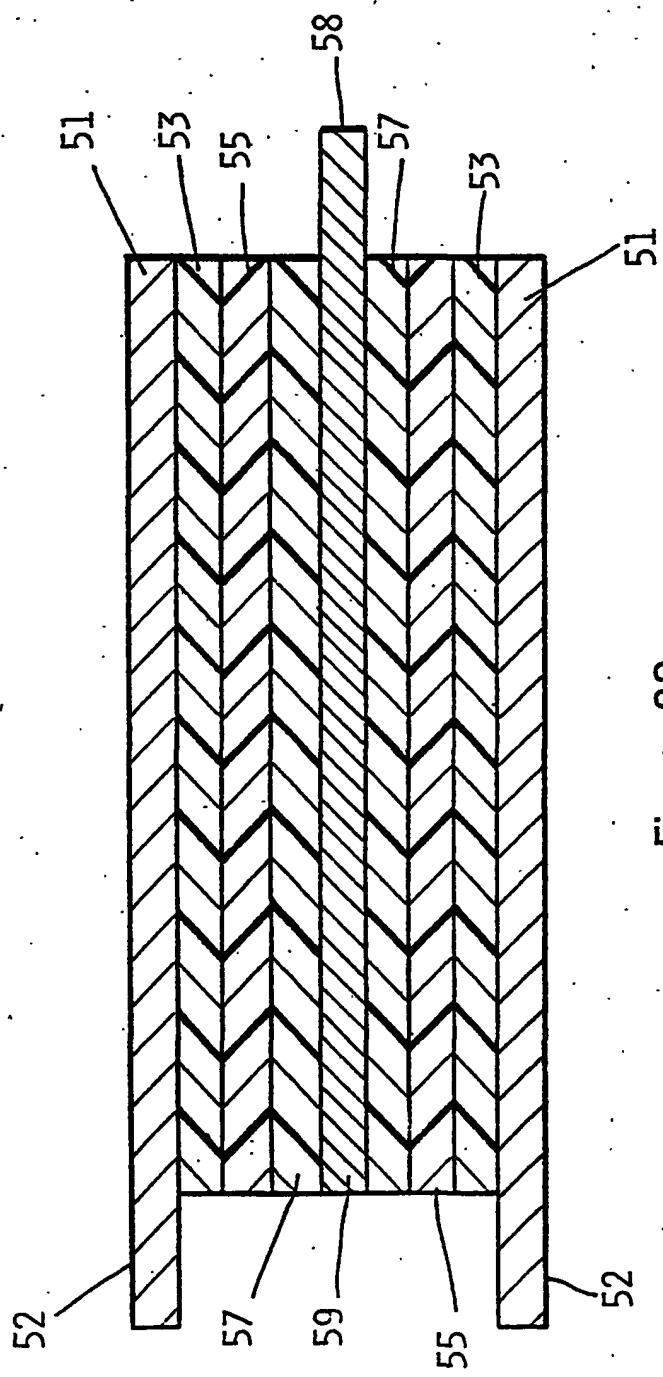


Figure 22



INTERNATIONAL SEARCH REPORT

International application N
PCT/US 00/35302

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

see additional sheet

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Inte

l Application No

PCT/US 00/35302

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 H01M4/48 H01M10/40

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H01M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

CHEM ABS Data, WPI Data, PAJ, EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	EP 1 049 182 A (HYDRO QUEBEC) 2 November 2000 (2000-11-02) example 11	10-14, 23, 35-37
X	BOUNTINAUD P ET AL: "THE SOLID SOLUTION BALI1-XCUXPO4 (ASPIRE <0.5): AN EXAMPLE OF CU+ SINGLE-ION LUMINESCENCE IN OXIDE INSULATORS" JOURNAL OF MATERIALS CHEMISTRY, GB, THE ROYAL SOCIETY OF CHEMISTRY, CAMBRIDGE, vol. 6, no. 3, 1 March 1996 (1996-03-01), pages 381-384, XP000589539 ISSN: 0959-9428 the whole document	10-13

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the International filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the International filing date but later than the priority date claimed

T later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

29 May 2001

Date of mailing of the International search report

10. 06. 01

Name and mailing address of the ISA

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Authorized officer

Engl. H

INTERNATIONAL SEARCH REPORT

Inte al Application No
PC1/US 00/35302

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 04, 30 April 1999 (1999-04-30) & JP 11 025983 A (JAPAN STORAGE BATTERY CO LTD), 29 January 1999 (1999-01-29) abstract —	10-13, 23-25, 35-37
X	DE 40 24 409 A (GEISMAR GUENTER ;HUND FRANZ DR (DE); WESTPHAL ULRICH DR (DE)) 6 February 1992 (1992-02-06) table 3 —	10-13
Y	PATENT ABSTRACTS OF JAPAN vol. 018, no. 091 (E-1508), 15 February 1994 (1994-02-15) & JP 05 299101 A (SANYO ELECTRIC CO LTD), 12 November 1993 (1993-11-12) abstract —	1-37
A	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 09, 30 July 1999 (1999-07-30) & JP 11 111295 A (JAPAN STORAGE BATTERY CO LTD), 23 April 1999 (1999-04-23) abstract —	1-37
Y	US 5 871 866 A (BARKER JEREMY ET AL) 16 February 1999 (1999-02-16) column 2, line 24 -column 3, line 1 column 5, line 7-10 claim 1 —	1-37
Y	T.J.BONAGAMBA ET AL: "7Li and 31P nuclear magnetic resonance studies of Li ₁₋₃ xMg _x Fe ₂ P ₂ O ₇ " JOURNAL OF APPLIED PHYSICS, vol. 84, no. 1, 1 July 1998 (1998-07-01), pages 416-421, XP002164725 the whole document —	1-37
Y	K.S.NANJUNDASWAMY ET AL: "Synthesis, redox potential evaluation and electrochemical characteristics of NASICON-related 3D framework compounds" SOLID STATE IONICS, vol. 92, 1996, pages 1-10, XP002164726 the whole document —	1-37
A	J. GOPALAKRISHNAN: "V ₂ (P ₂ O ₇) ₃ : A novel NASICON-type Vanadium Phosphate" CHEMISTRY OF MATERIALS, vol. 4, no. 4, 7 August 1992 (1992-08-07), pages 745-747, XP002164727 the whole document —	1-37

INTERNATIONAL SEARCH REPORT

Inte	al Application No
PCT/US 00/35302	

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	MARTINEZ-JUAREZ A, ET AL.: "Relationship between Activation Energy and Bottleneck Size for Li+ Ion Conduction in NASICON Materials of Composition LiMM'(PO4)3; M,M'=Ge, Ti, Sn., Hf" J. PHYS. CHEM., vol. 102, 1998, pages 372-375, XP002164949 page 373: Experimental Section page 375: Table 1	10
X	US 5 496 663 A (WALK CHARLES R ET AL) 5 March 1996 (1996-03-05) claims	38-41
X	US 5 567 548 A (WALK CHARLES R ET AL) 22 October 1996 (1996-10-22) column 4, line 3-59 claims	31-41
X	US 5 219 677 A (LABAT JEAN ET AL) 15 June 1993 (1993-06-15) column 5, line 37 -column 6, line 18 figures 6C-E	38-41
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FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-37

The compounds

A $\text{LiFe}_{1-y}\text{M}_y\text{PO}_4$, where $0 < y < 0.5$ and M = Mg, Ca, Zn, Sr, Pb, Cd, Sn, Ba, Be

B $\text{LiM}_{\text{II}1-y}\text{M}_{\text{II}y}\text{PO}_4$ where MI = Fe, Co, Ni, Mn, Cu, V, Sn, Ti, Cr
and MII = Mg, Ca, Zn, Sr, Pb, Cd, Sn, Ba, Be

C $\text{LiM}_{\text{II}1-y}\text{M}_{\text{II}y}\text{PO}_4$ where MI is a transition metal from groups 4 – 11 in valence state +2 and MII is a metallic element selected from groups 1, 12 and 14 in valence state 2; and $0 < y < 1$.

Electrodes comprising said compounds, a binder and an electrically conductive carbonaceous material.

Li ion batteries comprising said electrodes, a counterelectrode and an electrolyte.

2. Claims: 38-41

A compound LiV_{205} with an orthorhombic crystal structure with $a=9.7$, $b=3.6$ and $c=10.6$ Å.

Electrodes comprising said compound, a binder and an electrically conductive carbonaceous material.

Li ion batteries comprising said electrodes, a counterelectrode and an electrolyte.

INTERNATIONAL SEARCH REPORT

Information on patent family members

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